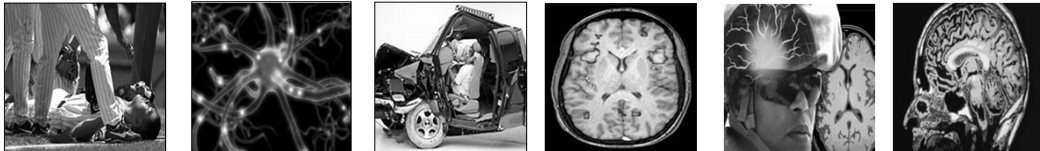


## Mild Traumatic Brain Injury & Postconcussion Syndrome:

### *New Evidence Base for Evaluation and Management*

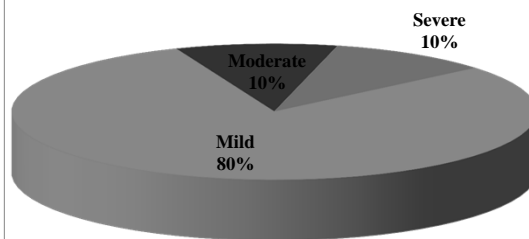


**Michael McCrea, PhD, ABPP**  
Professor of Neurosurgery and Neurology  
Director of Brain Injury Research



## mTBI: What's all the Fuss About?

### Hospitalizations Due to Head Injury



> 1 million mTBI ED visits per year

**\$100 billion/year in U.S**

- 2.5-3.8 million mTBI estimated annually in U.S.
- True incidence unclear: 30-50% never receive medical attention
- Far fewer see a neurosurgeon, neurologist or *neuropsychologist*
- Subset with persistent symptoms and disability ("PCS")
- Costly public health issue in the billions of \$
- Hot Buttons: **Sports, Military**

### **mTBI Disconnect:**

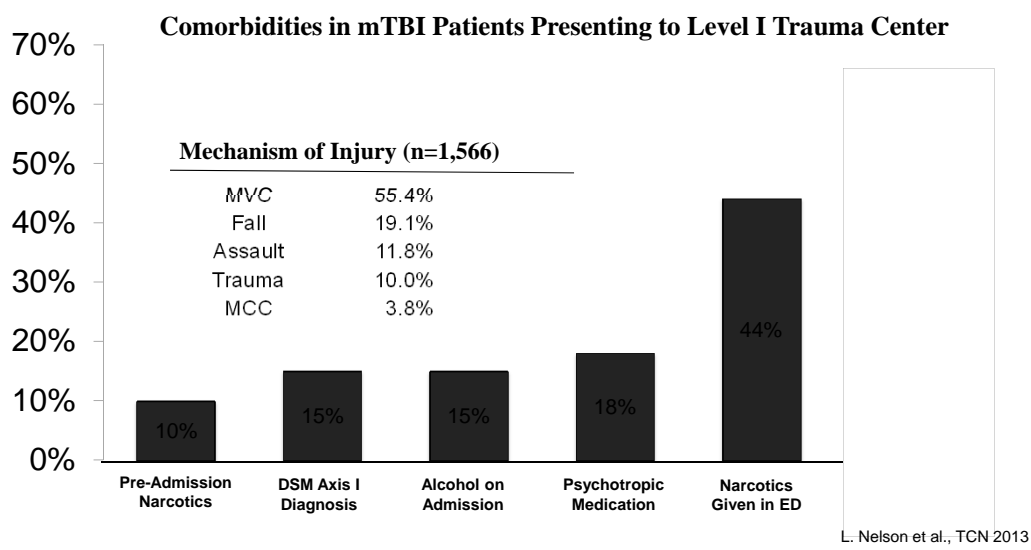
Lowest Mortality, Major Clinical Challenge, *Least Science*

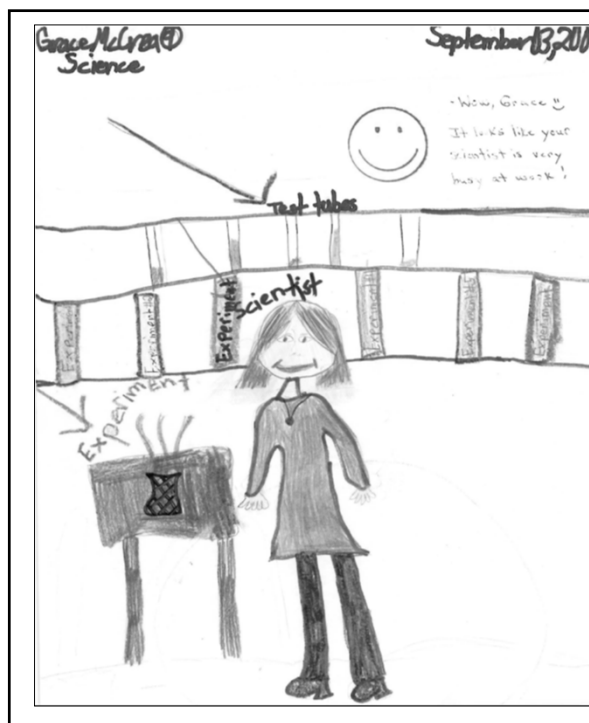
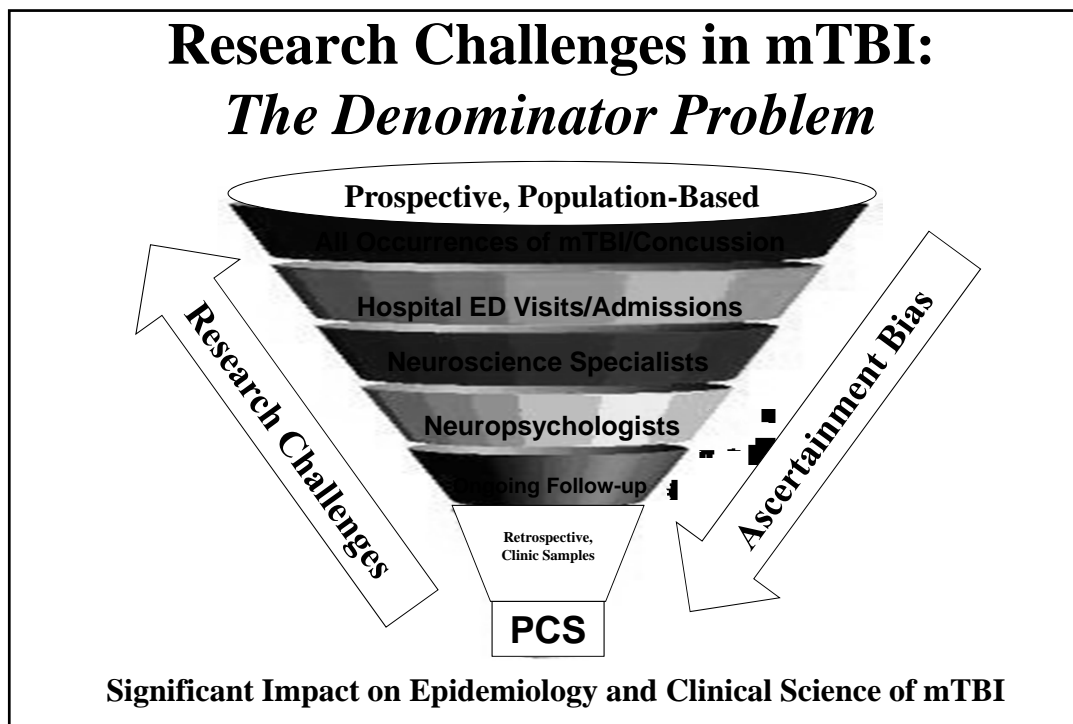
## Clinical Challenges in mTBI

- Was the accident sufficient to cause the patient to sustain a traumatic brain injury?
- What are the effects of this injury on brain function?
- How long *should* it take for the patient to recover?
- Is the cause of their persistent symptoms “*organic*” or “*mental*”?

***Historically, all hampered by lack of science***

## Challenges in mTBI Research: *One Reason It's So Hard*





## Do As I Say...

“Dad, I want to be a scientist”

“That’s great, honey.  
Just stay out of the  
weeds and pick  
something other  
than mTBI to  
study.”

## Alternative Paradigms for mTBI Research



### *Sports Laboratory Assessment Model (SLAM)*

## Sports Concussion: mTBI Laboratory

(Barth, 2001)



- Large sample at risk
- Defined Exposure Period
- Preinjury Baseline Measures
- Eye Witness Account: AIC's
- Immediate Assessment
- Serial Testing/Continuity
- Normal Controls
- Repetitive Concussion
- Chronic Exposure
- Longterm follow-up

### How Can We Inform the Broader Science of mTBI?



## Lessons Learned

1. Wealth of data on acute **clinical effects and recovery** after SRC & mTBI.
2. Emerging research on acute **physiological effects and recovery** after SRC & mTBI.
3. Movement toward an **integrated, evidence-based neurobiopsychosocial model of mTBI recovery.**

### AN INTEGRATED REVIEW OF RECOVERY AFTER MILD TRAUMATIC BRAIN INJURY (MTBI): IMPLICATIONS FOR CLINICAL MANAGEMENT

Michael McCrea<sup>1,2</sup>, Grant L. Iverson<sup>3,4</sup>, Thomas W. McAllister<sup>5</sup>, Thomas A. Hammeke<sup>2</sup>, Matthew R. Powell<sup>1</sup>, William B. Barr<sup>6</sup>, and James P. Kelly<sup>7,8</sup>

<sup>1</sup>Neuroscience Center, Waukesha Memorial Hospital, WI, USA, <sup>2</sup>Department of Neurology, Medical College of Wisconsin, WI, USA, <sup>3</sup>Department of Psychiatry, University of British Columbia, Vancouver, BC, <sup>4</sup>British Columbia Mental Health & Addictions, <sup>5</sup>Department of Neuropsychiatry, Dartmouth Neurology and Psychiatry, <sup>6</sup>Departments of Neurosurgery, University of Colorado, <sup>7</sup>Intrepid Center of Excellence and TBI, U.S. I.

The diagnosis and treatment of mTBI have been hampered by an incomplete understanding of the pathophysiology of mTBI. Fortunately, the science of mTBI is changing rapidly, and new evidence-based approaches to diagnosis and treatment are emerging. We review the current state of the science of mTBI and discuss the implications for clinical management of mTBI.

### MANAGEMENT OF PEDIATRIC MILD TRAUMATIC BRAIN INJURY: A NEUROPSYCHOLOGICAL REVIEW FROM INJURY THROUGH RECOVERY

Michael W. Kirkwood<sup>1</sup>, Keith Owen Yeates<sup>2</sup>, H. Gerry Taylor<sup>3</sup>, Christopher Randolph<sup>4</sup>, Michael McCrea<sup>5</sup>, and Vicki A. Anderson<sup>6</sup>

<sup>1</sup>Department of Physical Medicine & Rehabilitation, University of Colorado at Denver and Health Sciences Center and The Children's Hospital, Denver, CO, USA, <sup>2</sup>Department of Pediatrics, The Ohio State University, and Center for Behavioral Health, Columbus Children's Research Institute, Columbus, OH, USA, <sup>3</sup>Department of Pediatrics, Case Western Reserve University and Rainbow Babies & Children's Hospital, University Hospitals of Cleveland, OH, USA, <sup>4</sup>Department of Neurology, Loyola University Medical Center, Maywood, IL, USA, <sup>5</sup>Department of Neurology, Medical College of Wisconsin, Milwaukee, WI, USA, and <sup>6</sup>Department of Psychology, University of Melbourne, Royal Children's Hospital, Melbourne, Australia

Little scientific attention has been aimed at the nonacute clinical care of pediatric mild TBI. We propose a clinical management model focused on both evaluation and intervention from the time of injury through recovery. Intervention strategies are outlined using a framework encompassing four relevant domains: the individual youth, family, school, and community. Clinical management has primary value in its potential to speed recovery, minimize distress during the recovery process, and reduce the number of individuals who subjectively experience longer lasting postconcussive problems. With proper management, most children and adolescents sustaining an uncomplicated mild TBI can be expected to recover fully.

Keywords: Mild traumatic brain injury; Minor head injury; Concussion; Pediatrics; Treatment

## New Understanding of mTBI Rethinking Postconcussion Syndrome

## Scientific Advances in mTBI: *Acute Effects & Recovery*

### MECHANISM

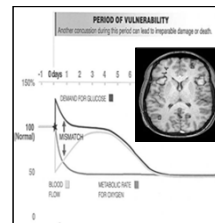


**Minimum Threshold:**  
How much is enough to cause brain injury?

### TRUE NATURAL HISTORY



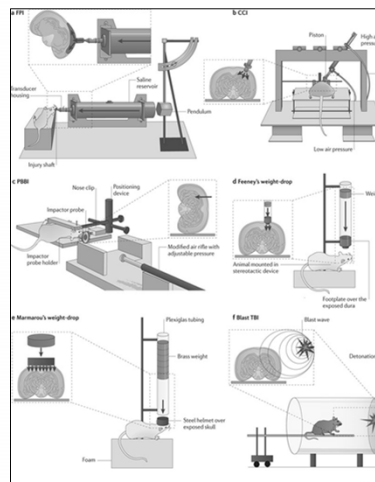
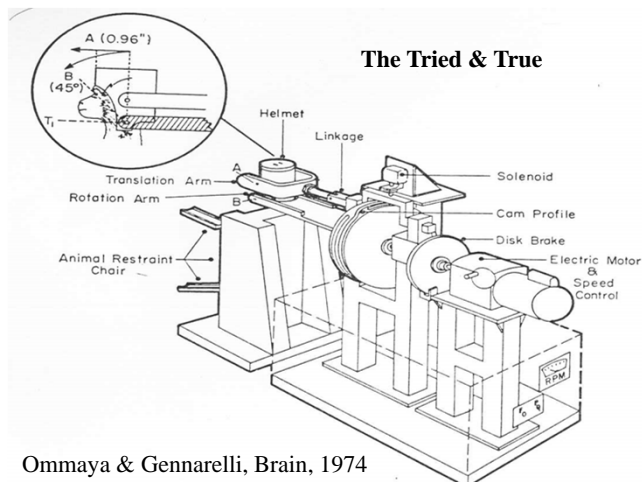
**Clinical Recovery:**  
How long does it take for sign & symptoms to recover?



**Physiological Recovery:**  
How long does the brain take to recover?

*Driving Evidence Based Diagnosis, Assessment and Management*

## Biomechanics of TBI



## Long Road of Clinical Translation

## mTBI Laboratory!

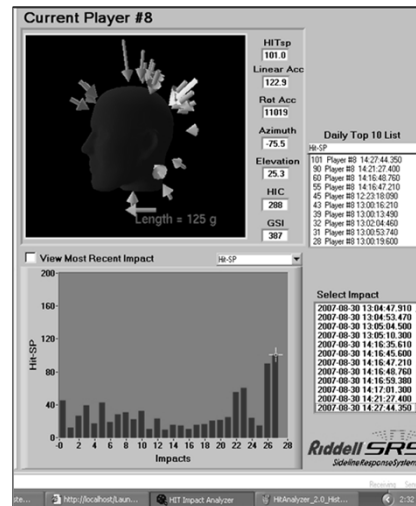


## Biomechanics of mTBI in Humans: *How Much is Enough to Cause Brain Injury?*



Measure and record blows to the head:

- Impact location
- Impact magnitude
- Impact duration
- Linear and angular acceleration components
- Exact times of impacts
- Sync w/ video



## HITS Studies: *Concussion Threshold?*



Guskiewicz et al, UNC

### MORE THAN A "DING"

- > 250K impacts in 100 players
- 19 concussion with HITS
- Ave. impact of concussive events: **103g (33)** (<1% of NC impacts > 95g)
- Controlling for rotational acceleration, location of impact on the head, concussion:
  - 17x more likely if PLA  $\geq 100g$
  - 15x more likely if PRA > 5000r/sec<sup>2</sup>

Pellman et al: peak acceleration-concussion **98 g** (+/- 28), non-concuss 60 g (+/- 24)

Zhang (2004): Probability of MTBI – 25% at 66g, 50% at 82g, 80% at **106 g**

Brolinson (2006): Average peak acceleration **103.3 g** (range 56-118 g)

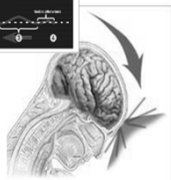
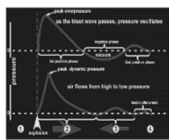
## What Does That Mean in Real Life?



- 100 g PLA equivalent to 25 mph MVA into brick wall, striking head against dash (unhelmeted)
- Significant rotational acceleration component
- Highlights significance of head impacts in SRC (not so mild)
- Provides context for interpretation of injury mechanisms

## Progress in mTBI Research: *Acute Effects & Recovery*

### INJURY MECHANISMS



**Threshold/Dynamics:**  
How much is enough  
to cause brain injury?

### TRUE NATURAL HISTORY OF RECOVERY

#### Acute Effects and Recovery Time Following Concussion in Collegiate Football Players The NCAA Concussion Study

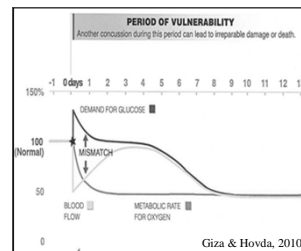
**Michael McCrea, PhD**  
**Karin M. Guskiewicz, PhD, KTC**  
**Stephen W. Marshall, PhD**  
**William Rhee, PhD**  
**Christopher Randolph, PhD**  
**Robert C. Cantu, MD**  
**James A. Olson, PhD, KTC**  
**Stephen Tang, MD**  
**James P. Kelly, MD**

**Context:** Lack of empirical data on recovery time following sport-related concussion hampers clinical decision making about return to play after injury.

**Objective:** To prospectively measure immediate effects and natural recovery course relating to symptoms, cognitive functioning, and postural stability following sport-related concussion.

**Design, Setting, and Participants:** Prospective cohort study of 1021 football players from 15 US colleges. All players underwent pre-season baseline testing on concussion assessment measures in 1999, 2000, and 2001. Ninety-four players with concussion based on American Academy of Neurology criteria and 56 noninjured controls underwent assessment of symptoms, cognitive functioning, and postural stability immediately, 3 hours, and 1, 2, 3, 5, 7, and 90 days after injury.

**Clinical Recovery:**  
How long does it take for  
signs & symptoms to recover?



**Physiological Recovery:**  
How long does it take for the  
brain to recover?

*New Evidence Base to Guide Diagnosis, Assessment and Management*

# Acute Effects and Recovery Time Following Concussion in Collegiate Football Players

## The NCAA Concussion Study

JAMA 2003; 290:2556-2563

Michael McCrea, PhD

Kevin M. Guskiewicz, PhD, ATC

Stephen W. Marshall, PhD

William Barr, PhD

Christopher Randolph, PhD

Robert C. Cantu, MD

James A. Onate, PhD, ATC

Jingzhen Yang, MPH

James P. Kelly, MD

**Context** Lack of empirical data on recovery time following sport-related concussion hampers clinical decision making about return to play after injury.

**Objective** To prospectively measure immediate effects and natural recovery course relating to symptoms, cognitive functioning, and postural stability following sport-related concussion.

**Design, Setting, and Participants** Prospective cohort study of 1631 football players from 15 US colleges. All players underwent preseason baseline testing on concussion assessment measures in 1999, 2000, and 2001. Ninety-four players with concussion (based on American Academy of Neurology criteria) and 56 noninjured controls underwent assessment of symptoms, cognitive functioning, and postural stability immediately, 3 hours, and 1, 2, 3, 5, 7, and 90 days after injury.

**Over 25,000 Athlete Seasons, 1,500 Concussions Studied**

Can we *measure* the acute effects of...



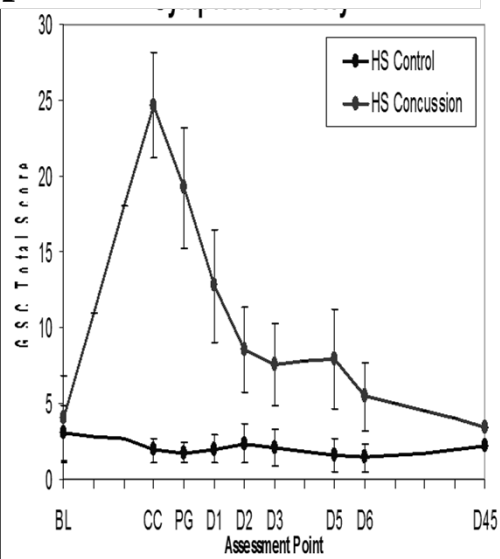
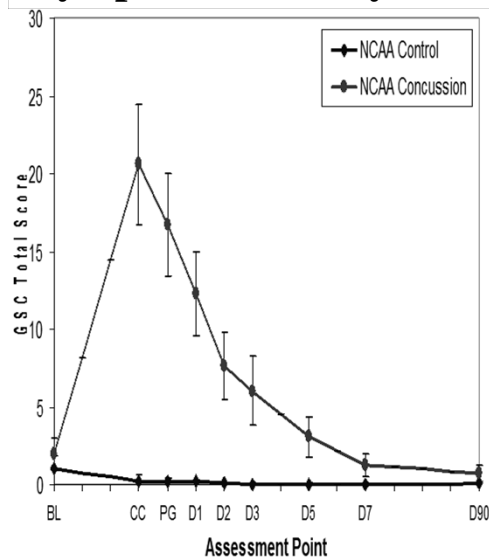
...What does early *recovery* look like?

## Symptom Recovery After mTBI



*Not tonight, honey, I have a concussion.*

## Symptom Recovery after Sport-Related Concussion



Higher score indicates more severe symptoms; error bars represent 95% CI

McCrea et al., JAMA 2003

## How Long Does it Take to Recover?

Rate of Postinjury Recovery in HS and College Athletes (n=790)	Total (%)	Cumulative Total (%)
Rapid (< 1 day)	21.1	21.1
Gradual (> 1 day, < 7 days)	64.3	85.4
Prolonged (1 week – 1 month)	11.9	97.3
Persistent (> 1 month)	2.7	100.0

**2013 (n=94): Mean Symptom Recovery 5.75 Days (4.48)**  
**90% Recover in 10 Days or Less, 2% > 30 days**

*Journal of the International Neuropsychological Society* (2013), 19, 22–33.  
 Copyright © 2013. Published by Cambridge University Press, 2012.  
 doi:10.1017/S1555617112000872

### Incidence, Clinical Course, and Predictors of Prolonged Recovery Time Following Sport-Related Concussion in High School and College Athletes

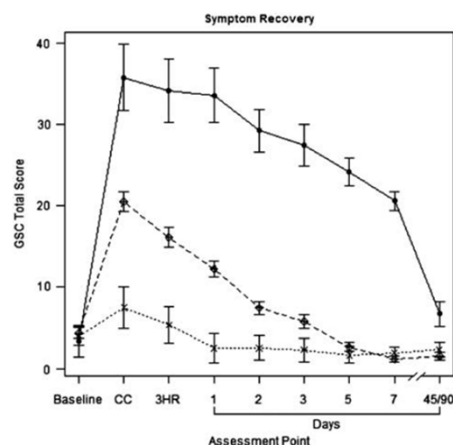
Michael McCrea,<sup>1</sup> Kevin Guskiewicz,<sup>2,3,4</sup> Christopher Randolph,<sup>5</sup> William B. Barr,<sup>6</sup> Thomas A. Hanmcke,<sup>7</sup> Stephen W. Marshall,<sup>8,9</sup> Matthew R. Powell,<sup>9</sup> Kwang Woo Ahn,<sup>10</sup> Yanzhi Wang,<sup>10</sup> AND James P. Kelly<sup>11</sup>

<sup>1</sup>Department of Neurosurgery and Neurology, Medical College of Wisconsin, Milwaukee, Wisconsin  
<sup>2</sup>Department of Exercise and Sport Science, University of North Carolina at Chapel Hill, Chapel Hill, North Carolina  
<sup>3</sup>Department of Orthopedics, University of North Carolina at Chapel Hill, Chapel Hill, North Carolina  
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<sup>8</sup>Department of Epidemiology, University of North Carolina at Chapel Hill, Chapel Hill, North Carolina  
<sup>9</sup>Department of Neuropsychology, Marshfield Clinic – Marquette Center, Marquette, Wisconsin  
<sup>10</sup>Division of Biostatistics, Medical College of Wisconsin, Milwaukee, Wisconsin  
<sup>11</sup>U.S. Department of Defense, National Intrepid Center of Excellence, Bethesda, Maryland

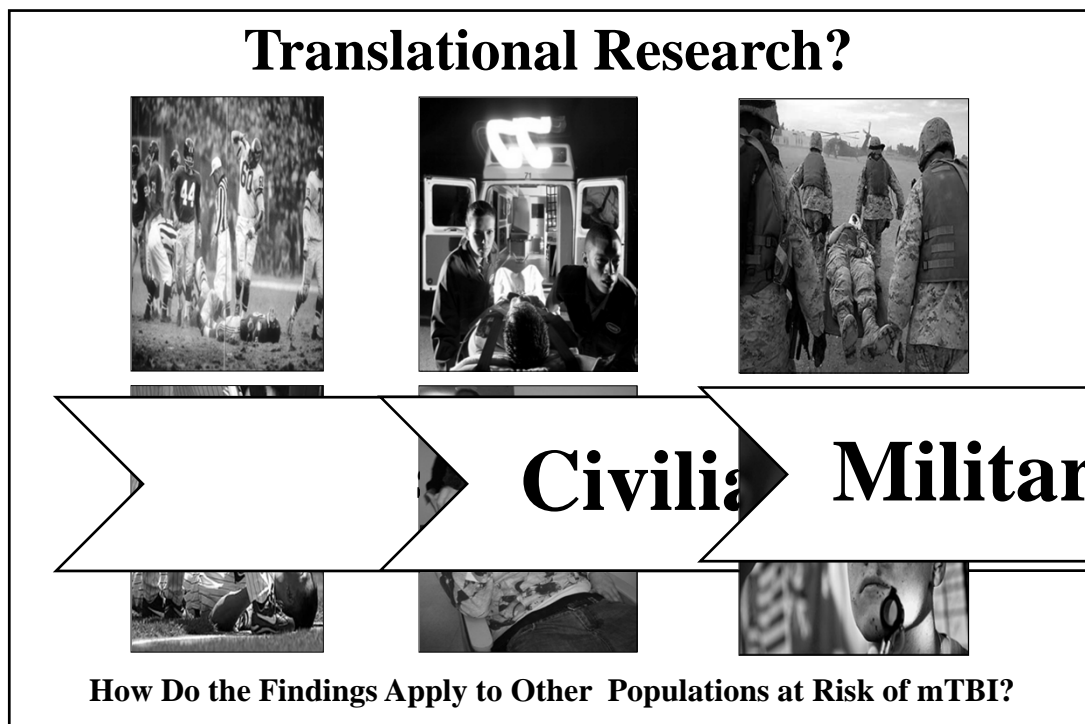
(Received September 21, 2011; Final Revision June 7, 2012; Accepted June 7, 2012)

#### Abstract

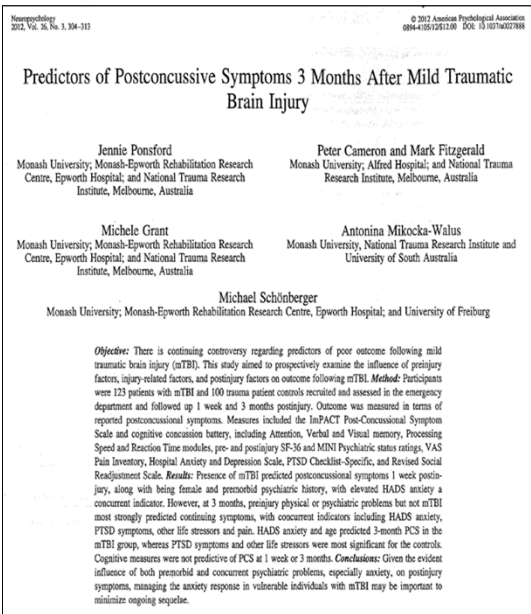
Sport-related concussion (SRC) is typically followed by clinical recovery within days, but reports of prolonged symptoms are common. We investigated the incidence of prolonged recovery in a large cohort ( $n = 18,531$ ) of athlete seasons over a 10-year period. A total of 570 athletes with concussion (3.1%) and 166 controls who underwent pre-injury baseline assessments of symptoms, neurocognitive functioning and balance were re-assessed immediately, 3 hr, and 1, 2, 3, 5, 7, and 45 or 90 days after concussion. Concussed athletes were stratified into typical (within 7 days) or prolonged (> 7 days) recovery groups based on symptom recovery time. Ten percent of athletes ( $n = 57$ ) had a prolonged symptom recovery, which was also associated with lengthier recovery on neurocognitive testing ( $p < .001$ ). At 45–90 days post-injury, the prolonged recovery group reported elevated symptoms, without deficits on cognitive or balance testing. Prolonged recovery was associated with unconsciousness (odds ratio (OR), 4.15; 95% confidence interval (CI) 2.12–8.15), posttraumatic amnesia (OR, 1.81; 95% CI, 1.00–3.28), and more severe acute symptoms ( $p < .0001$ ). These results suggest that a small percentage of athletes may experience symptoms and functional impairments beyond the typical window of recovery after SRC, and that prolonged recovery is associated with acute indicators of more severe injury. (*JINS*, 2013, 19, 22–33)



- 10% take > 7 days to recover
- Acute severity predicts recovery
- 2.5% symptomatic > 45 days
- No impairment on objective measures at Day 45 relative to BL



## Civilian Symptom Recovery



**Predictors of Postconcussive Symptoms 3 Months After Mild Traumatic Brain Injury**

Jennie Ponsford  
Monash University; Monash-Epworth Rehabilitation Research Centre, Epworth Hospital; and National Trauma Research Institute, Melbourne, Australia

Peter Cameron and Mark Fitzgerald  
Monash University; Alfred Hospital; and National Trauma Research Institute, Melbourne, Australia

Michele Grant  
Monash University; Monash-Epworth Rehabilitation Research Centre, Epworth Hospital; and National Trauma Research Institute, Melbourne, Australia

Antonina Mikocka-Walus  
Monash University, National Trauma Research Institute and University of South Australia

Michael Schönberger  
Monash University; Monash-Epworth Rehabilitation Research Centre, Epworth Hospital; and University of Freiburg

*Objective:* There is continuing controversy regarding predictors of poor outcome following mild traumatic brain injury (mTBI). This study aimed to prospectively examine the influence of preinjury factors, injury-related factors, and postinjury factors on outcome following mTBI. *Method:* Participants were 123 patients with mTBI and 100 trauma patient controls recruited and assessed in the emergency department and followed up 1 week and 3 months postinjury. Outcome was measured in terms of reported postconcussional symptoms. Measures included the InFACT Post-Concussional Symptom Scale and cognitive concussion battery, including Attention, Verbal and Visual memory, Processing Speed and Reaction Time modules, pre- and postinjury SF-36 and MINI Psychiatric status ratings, VAS Pain Inventory, Hospital Anxiety and Depression Scale, PTSD Checklist-Specific, and Revised Social Readjustment Scale. *Results:* Presence of mTBI predicted postconcussional symptoms 1 week postinjury, along with being female and preinjury psychiatric history, with elevated HADS anxiety a concurrent indicator. However, at 3 months, preinjury physical or psychiatric problems but not mTBI most strongly predicted continuing symptoms, with concurrent indicators including HADS anxiety, PTSD symptoms, other life stressors and pain. HADS anxiety and age predicted 3-month PCS in the mTBI group, whereas PTSD symptoms and other life stressors were most significant for the controls. Cognitive measures were not predictive of PCS at 1 week or 3 months. *Conclusions:* Given the evident influence of both preinjury and concurrent psychiatric problems, especially anxiety, on postinjury symptoms, managing the anxiety response in vulnerable individuals with mTBI may be important to minimize ongoing sequelae.

- Prospective study of 123 mTBI patients, 100 TC's
- Evaluated in ED, follow-up at 7 days, 3 mos
- PCS scale and cognitive testing, SF-36, MINI Psychiatric screening, HADS, PTSD CL
- Elevated PCS scores in mTBI group at Day 7, not different from TCs at 3 mos
- PCS at 3 mos predicted by preinjury physical problems and concurrent psychosocial factors, not by mTBI
- Cognitive measures not predictive of PCS at 7 days or 3 mos



*The Clinical Neuropsychologist*, 2011, 25 (5), 702-715  
http://www.psypress.com/cn  
ISSN: 1385-4046 print/1744-4144 online  
DOI: 10.1080/13854046.2011.566892

Psychology Press  
Taylor & Francis Group

## Symptoms after Military mTBI

### CE Symptom Complaints Following Reports of Blast Versus Non-Blast Mild TBI: Does Mechanism of Injury Matter?

Heather G. Belanger<sup>1,2,5</sup>, Zoe Proctor-Weber<sup>3</sup>, Tracy Kretzmer<sup>1</sup>, Michelle Kim<sup>1</sup>, Louis M. French<sup>5,6,7</sup>, and Rodney D. Vanderploeg<sup>1,2,4,5</sup>

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<sup>2</sup>Department of Psychology, University of South Florida, Tampa, FL, USA

<sup>3</sup>Department of Mental Health and Behavioral Sciences, Bay Pines VA Healthcare System, Bay Pines, FL, USA

<sup>4</sup>Department of Psychiatry, University of South Florida, Tampa, FL, USA

<sup>5</sup>Defense and Veterans Brain Injury Center, Washington, DC, USA

<sup>6</sup>Department of Orthopedics and Rehabilitation, Walter Reed Army Medical Center, Washington, DC, USA

<sup>7</sup>Department of Neurology, Uniformed Services University of the Health Sciences, Bethesda, MD, USA

Patients with a reported history of mild traumatic brain injury (mild TBI) due to blast ( $n = 298$ ) or non-blast ( $n = 92$ ) mechanisms were asked to complete the Neurobehavioral Symptom Inventory (NSI) and the Post-traumatic Stress Disorder Checklist (PCL). Mechanism of injury did not account for a significant amount of variance in post-concussion symptom reporting overall, nor did severity of mild TBI (i.e., brief loss of consciousness versus only an alteration of consciousness). Symptom reporting was greater in those injured more than 1 month ago compared to those injured less than 1 month ago and in those reporting higher levels versus lower levels of PTSD symptoms. When examining specific symptoms, the only symptom that significantly varied between groups was hearing difficulty (with the blast-injured group reporting more severe difficulty with hearing). Findings suggest that greater symptom reporting is most strongly related to emotional distress.

- 298 blast, 92 non-blast mTBI patients
- NSI and PCL administered
- Symptoms higher in mTBI < 1 mo ago vs. > 1 mo ago, and with higher PTSD sx's
- PCS not predicted by mechanism or acute characteristics of mTBI
- Symptom reporting most strongly associated with emotional distress

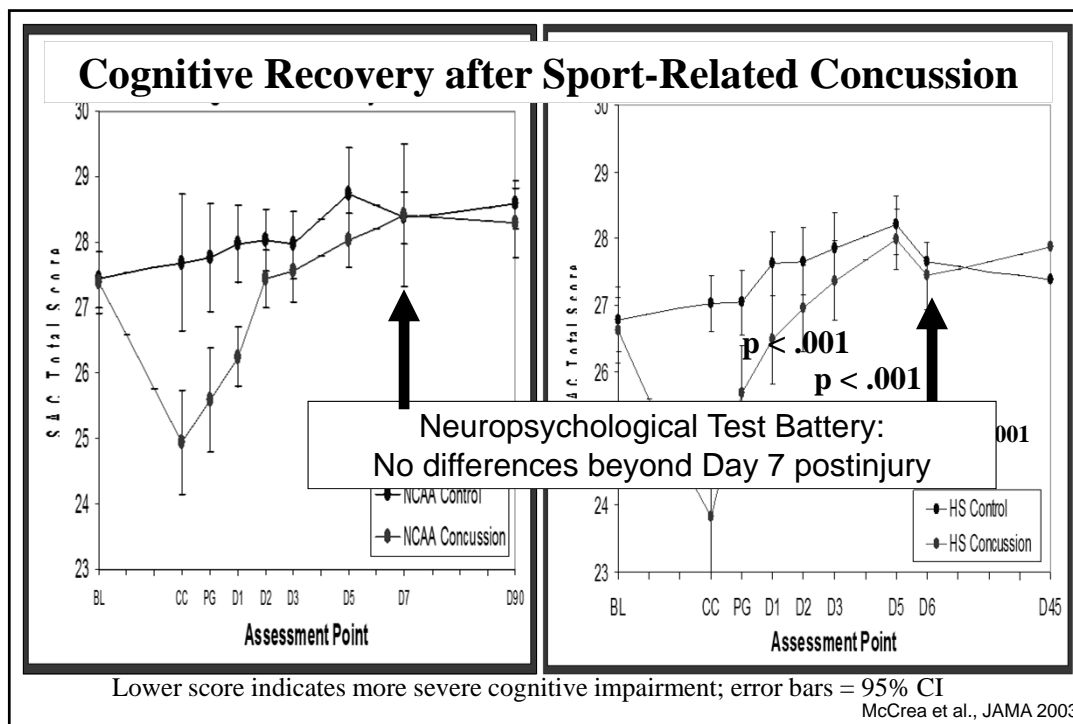
## Is Symptom Recovery Really *Recovery*: Performance-Based mTBI Assessment

What is an isosceles triangle? In what year was the Great Wall of China built? Who invented dental floss?

It must be a concussion. He didn't get even 1 question right.



Hot Pursuit of Better Signal Detection



*Journal of the International Neuropsychological Society* (2005), 11, 345–357.  
Copyright © 2005 INS. Published by Cambridge University Press. Printed in the USA.  
DOI: 10.1017/S155677605050411

#### The neuropsychological impact of sports-related concussion: A meta-analysis

HEATHER G. BELANGER<sup>1,2,4</sup> AND RODNEY D. VANDERPLOEG<sup>1,2,3,4</sup>

<sup>1</sup>James A. Haley Veterans' Hospital, Tampa, Florida  
<sup>2</sup>Department of Psychology, University of South Florida, Tampa, Florida  
<sup>3</sup>Department of Psychiatry, University of South Florida, Tampa, Florida  
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(Received December 23, 2004; Revised January 19, 2005; Accepted January 19, 2005)

**Abstract**  
There is increasing interest in the potential neuropsychological impact of sports-related concussion. A meta-analysis of the relevant literature was conducted to determine the impact of sport-related concussion across six cognitive domains. The analysis was based on 21 studies involving 790 cases of concussion and 2014 control cases. The overall effect of concussion ( $d = 0.49$ ) was comparable to the effect found in the non-sports-related mild traumatic brain injury population ( $d = 0.54$ ; Belanger et al., 2005). Using sports-concussed participants with a history of prior head injury appears to inflate the effect sizes associated with the current sports-related concussion. Acute effects (within 24 hr of injury) of concussion were greater for delayed memory, memory acquisition, and global cognitive functioning ( $d = 1.00$ ,  $1.03$ , and  $1.42$ , respectively). However, no residual neuropsychological impairments were found when testing was completed beyond 7 days postinjury. These findings were moderated by cognitive domain and comparison group (control group versus preconcussion self-control). Specifically, delayed memory in studies utilizing a control group remained problematic at 7 days. The implications and limitations of these findings are discussed. (*JINS*, 2005, 11, 345–357.)

**Keywords:** Brain concussion, Head injury, Mild concussion, Sequelae, Traumatic brain injury, Football, Soccer

**INTRODUCTION**  
Sports-related concussion occurs with some frequency. Among high school athletes, for instance, 5.5% of all injuries are concussions with an estimated 62,516 new cases of concussion annually (Powell & Barber-Toss, 1999). Football accounts for 63% of these injuries. The rate of concussion is similarly high in professional sports with an estimated 41 concussions per National Football League game (Pellmar et al., 2004). Sports-related concussion has gained increasing attention in the neuropsychology literature. Early work by Barth and colleagues (Barth et al., 1983; Rinell et al., 1981) in the 1980s set the stage for a plethora of empirical investigation into the neuropsychological impact of concussion in sports and the resolution of cognitive sequelae over time. In addition, other researchers have suggested the possibility that repeated exposure to sports-related activities such as heading a soccer ball may cause a more subtle concussion (e.g., headaches, dizziness, feeling "dazed," etc.) with an associated dose-response effect (Webster & Ochs, 2003; Witte & Webster, 2003). Although it is clear that most patients suffer at least some acute cognitive difficulties associated with concussion or mild traumatic brain injury (MTBI) more generally, the nature and course of postacute cognitive recovery remains an area of intense controversy. In non-sports-related MTBI, most cases recover completely within the first 3 months (Dikmen et al., 1986, 1995; Gentili et al., 1985; Gronwall & Wrightson, 1974; Levin et al., 1987), however, a significant minority continue to manifest cognitive deficits beyond that point, with prevalence estimates varying across study from 7–8% (Binder et al., 1997) to 33% (Rinell et al., 1981). In addition, a number of individuals continue to report dis-

## Cognitive Recovery: SRC

- Meta-analysis: 21 studies, 790 concussions, 2014 controls
- Acute effects (w/n 24 hrs) greatest for delayed memory ( $d=1.00$ ), memory acquisition ( $d=1.03$ ), and global cognitive functioning ( $d=1.42$ )
- Overall ES ( $d=0.49$ ) comparable to non-sports ( $d=0.54$ )
- No residual neuropsych impairment > 7 days postinjury

Cognitive Effects of SRC									
Table 1. Characteristics of the 39 studies included in the meta-analysis									
Study	Sample size; study design	Immediate post-injury assessment	neurocognitive assessment	time from injury (days) <sup>a</sup>	effect size	95% CI	14 Days post-injury assessment	effect size	95% CI
Barr and McCrea <sup>[40]</sup>	118; control	NC	SAC	0.003	-2.52	-2.82, -2.22			
Bruce and Echemendia <sup>[54]</sup>	38; control	NC	OSP	0.08	-0.69	-1.30, -0.08	NC	-0.69	-1.13, -0.10
Collie et al. <sup>[18]</sup>	109; control					-0.56, 0.33			
Collins et al. <sup>[51]</sup>	136; baseline-post-concussion					-1.03, -0.57			
Collins et al. <sup>[52]</sup>	78; baseline-post-concussion					-1.56, -1.13			
Cremonte-Mateyard and Gelfert <sup>[27]</sup>	21; control					-1.16, -0.57			
Echemendia et al. <sup>[53]</sup>	49; control					-1.19, -0.6			
Erlanger et al. <sup>[17]</sup>	26; baseline-post-concussion					-1.08, 0.62			
Field et al. <sup>[54]</sup>	92; control					-1.98, -0.95			
Guskiewicz et al. <sup>[24]</sup>	20; control					-1.50, -0.43			
Guskiewicz et al. <sup>[14]</sup>	22; control					-1.42, -0.40			
Guskiewicz et al. <sup>[27]</sup>	72; control					-1.47, -0.69			
Guskiewicz et al. <sup>[55]</sup>	196; baseline-post-concussion					-1.86, -1.11			
Hinton-Bayre et al. <sup>[55]</sup>	20; control					-4.61, -3.37			
Hinton-Bayre et al. <sup>[40]</sup>	50; control					-2.06, -0.45			
Iverson et al. <sup>[56]</sup>	41; baseline-post-concussion	NC	Computer	1.3	-0.72	-1.13, -0.30			
Iverson et al. <sup>[56]</sup>	19; baseline-post-concussion	NC	Computer	1.6	-0.16	-0.79, 0.47			
Iverson et al. <sup>[57]</sup>	30; baseline-post-concussion	NC Sympt	Computer	1.5	-0.88	-1.36, -0.40	NC Sympt	-0.23	
					-1.45	-1.91, -0.99		0	
							Broglio et al., 2008		

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Neuropsychological Outcome from Blast *versus* Non-blast:  
Mild Traumatic Brain Injury in U.S. Military Service Members

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(Received July 29, 2011; First Revision January 31, 2012; Accepted February 7, 2012)

Abstract

The purpose of this study was to compare the neuropsychological outcome from blast-related *versus* non-blast related mild traumatic brain injury (MTBI). Participants were 56 U.S. military service members who sustained an MTBI, divided into two groups based on mechanism of injury: (a) non-blast related (Non-blast;  $n = 21$ ), and (b) blast plus secondary blunt trauma (Blast Plus;  $n = 35$ ). All participants had sustained their injury in theatre whilst deployed during Operation Iraqi Freedom or Operation Enduring Freedom. Patients had been seen for neuropsychological evaluation at Walter Reed Army Medical Center on average 4.4 months ( $SD = 4.1$ ) post-injury. Measures included 14 clinical scales from the Personality Assessment Inventory (PAI) and 12 common neurocognitive measures. For the PAI, there were no significant differences between groups on any scales ( $p > .05$ ). However, medium effect sizes were found for the Depression ( $d = .49$ ) and Stress ( $d = .47$ ) scales (i.e., Blast Plus > Non-blast). On the neurocognitive measures, after controlling for the influence of psychological distress (i.e., Depression, Stress), there were no differences between the Non-blast and Blast Plus groups on any measures. These findings provide little evidence to suggest that blast exposure plus secondary blunt trauma results in worse cognitive or psychological recovery than blunt trauma alone. (*JINS* 2012, 18, 1–11)

Military mTBI

- 56 MSM w/ mTBI in OEF/OIF
  - 21 non-blast, 35 blast+blunt
- Neurocognitive battery and PAI 4.4 (4.1) months post-injury
- PAI: no group differences on any scales; medium ES for Dep (.49), Stress (.47) (Blast+ > NB)
- No group differences on any cognitive measures after controlling for Dep, Stress
- Little evidence to suggest that blast+blunt results in worse cognitive or psych recovery than blunt

Cognitive Recovery: Civilian mTBI

Journal of the International Neuropsychological Society (2005), 11, 215–227.  
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Factors moderating neuropsychological outcomes  
following mild traumatic brain injury: A meta-analysis

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Abstract

There continues to be debate about the long-term neuropsychological impact of mild traumatic brain injury (MTBI). A meta-analysis of the relevant literature was conducted to determine the impact of MTBI across nine cognitive domains. The analysis was based on 39 studies involving 1463 cases of MTBI and 1191 control cases. The overall effect of MTBI on neuropsychological functioning was moderate ( $d = .34$ ). However, findings were moderated by cognitive domain, time since injury, patient characteristics, and sampling methods. Acute effects (less than 3 months postinjury) of MTBI were greatest for delayed memory and fluency ( $d = 1.03$  and  $.80$ , respectively). In unselected or prospective samples, the overall analysis revealed no residual neuropsychological impairment by 3 months postinjury ( $d = .04$ ). In contrast, clinic-based samples and samples including participants in litigation were associated with greater cognitive sequelae of MTBI ( $d = .74$  and  $.78$ , respectively at 3 months or greater). Indeed, litigation was associated with stable or worsening of cognitive functioning over time. The implications and limitations of these findings are discussed. (*JINS* 2005, 11, 215–227.)

**Keywords:** Brain concussion, Head injury, Milder, Neuropsychological, Sequelae, Litigation

INTRODUCTION

Traumatic brain injury (TBI) is a leading cause of death and disability (Centers for Disease Control and Prevention, 2003). Each year an estimated 1.5 million people in the United States alone sustain a nonfatal brain injury (Sims et al., 1996). Approximately 80% of these injuries are classified as mild (Kraus & Nourjah, 1988), involving only a brief loss of alteration of consciousness. Increasingly, mild traumatic brain injury (MTBI) has been recognized as a major public health concern with an annual worldwide incidence ranging from 100 to 550 per 100,000 (Anderson et al., 2003; Dunn et al., 1991; Evans, 1992; Thornhill et al., 2000). The economic impact of MTBI is substantial, account-

ing for about 44% of the 56 billion dollar annual cost of TBI in the United States (Thurman, 2001). Although it is clear that most patients suffer at least some acute cognitive difficulties, the nature and course of post-acute cognitive recovery remains an area of intense controversy. While most cases of MTBI recover completely within the first 3 months (Dikmen et al., 1986, 1995; Gentilini et al., 1985; Gronwall & Wrightson, 1974; Levin et al., 1987; Rutherford et al., 1979), a significant minority continue to report distressing symptoms for months (Alex et al., 1993; Dikmen et al., 1986; Hartlage et al., 2001; Powell et al., 1990) or years postinjury (Alexander, 1992; Debe et al., 1999; Hartlage et al., 2001). The prevalence of persistent symptoms varies across studies from 7–8% (Binder et al., 1997) to 10–20% (Alexander, 1995) to 33% (Rimel et al., 1981). Frequently these complaints involve a constellation of physical, emotional, and cognitive symptoms collectively known as postconcussion syndrome (PCS). Common

A quantitative review of the effects of traumatic brain injury  
on cognitive functioning

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Summary

Changes in cognitive functioning often result from traumatic brain injury (TBI) and predict other important aspects of psychosocial recovery. Despite this potential role, no quantitative review of cognitive functioning across the spectrum of TBI severity has been reported. We therefore conducted a meta-analysis of 39 mostly cross-sectional studies of the cognitive effects of mild head injury (MHI) and moderate-severe TBI from the acute phase through long-term follow-up. The studies reported 44 comparisons of patients ( $n = 1715$ ) and control subjects ( $n = 1164$ ). Averaged across all follow-up periods, the effect of moderate-severe TBI (weighted mean Cohen's  $d = -0.74$ ) was more than three times the effect of MHI (weighted mean  $d = -0.24$ ) on overall cognitive functioning. Further, the natural logarithm of the follow-up interval correlated very strongly with estimates of  $d$  among patients with MHI, but less so among those with moderate-severe TBI. In short, findings from published research suggest that overall cognitive functioning recovers most rapidly during the first few weeks following MHI, and essentially returns to baseline within 1–3 months. Cognitive functioning also improves during the first two years after moderate-severe TBI, but remains markedly impaired even among patients tested > 2 years post-injury.

Introduction

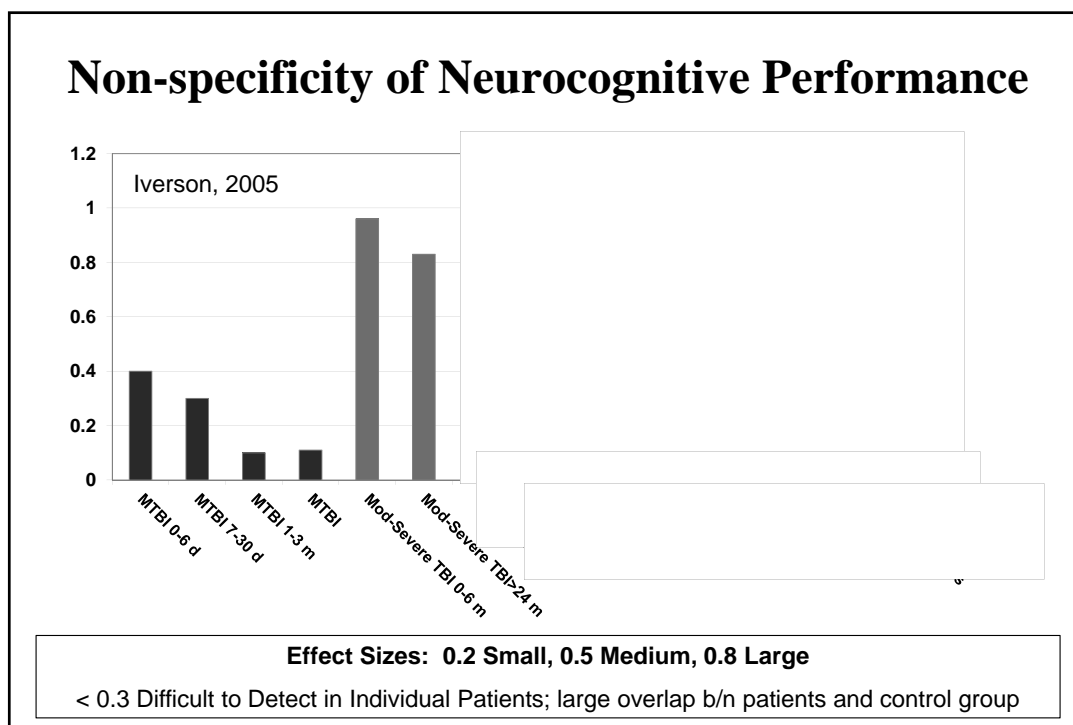
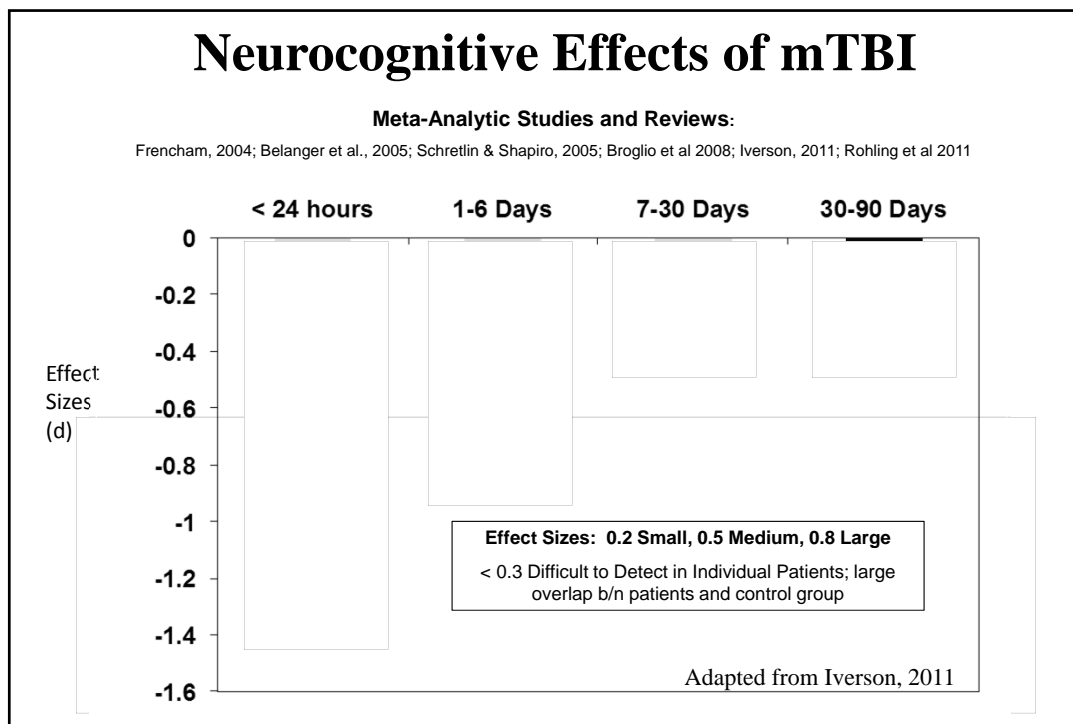
Along with changes in emotional stability, personality, and independence for activities of daily living, cognitive impairment frequently results from traumatic brain injury (TBI). These deficits, in turn, likely mediate more distal outcomes of TBI, such as return to work and other aspects of social role engagement (Schretlen, 2000; Sherrer et al., 2002). Consequently, the cognitive effects of TBI have received a great deal of research attention, and many excellent reviews are available (Efrangier et al., 1995; Ewing-Cobbs & Barnes, 2002; Goleburn & Golden, 2001; Marston et al., 2000). Although Binder et al. (1997) published a meta-analysis of the effects of mild head injury on cognitive functioning, no quantitative review of the cognitive effects of TBI over the full spectrum of injury severity, and from the acute post-injury period through long-term follow-up, has been reported. That is the aim of this review.

The terms used to describe traumatic head and brain injuries vary among investigators and have evolved over time. We will use 'mild head injury' (MHI) to refer to any blow to the head that: (1) causes an alteration or loss of consciousness (LOC) for no more than 30 minutes; (2) results in post-traumatic amnesia (PTA) for less than 24 hours; (3) yields a Glasgow Coma Scale (GCS) score of 13–15; and (4) does not produce a skull fracture, abnormalities on structural brain imaging, or focal

neurological signs. This definition includes concussions of grades 1–3 per Torg (1982) and grades 1–2 per Cairns (1991) and the American Academy of Neurology (AAN, 1997). However, it excludes persons with > 24 hours of PTA or > 30 minutes LOC, who would be classified as having grade 3 concussions by Cairns (1991) and the American Academy of Neurology (1997), respectively. We prefer the term *head injury* to *brain injury* for these cases because it remains unclear whether mild uncomplicated concussions cause permanent brain injury (Binder, 1997; Gualtieri, 1995; Margulies, 2000). A minority of patients who sustain such injuries report persisting problems with concentration, memory, and other cognitive abilities (Alexander, 1995), but these complaints may not correlate with persisting impairment on performance-based measures of cognitive functioning (Sims et al., 1999). If mild head injuries do impair performance on cognitive testing as reported by some investigators, the severity of these deficits and their temporal course remain unclear.

Although experts also distinguish between moderate and severe TBI, often based on post-resuscitation GCS scores (with 9–12 being moderate, and 3–8 being severe), such patients usually are grouped together for research purposes. Thus, we combined all studies of traumatic brain injury, in which severity exceeded the criteria described above, as 'moderate-severe' TBI. It is widely held that cognitive deficits

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DOI: 10.1080/09599460310001608728



## mTBI Clinical Recovery & Outcome

### World Health Organization (2004):

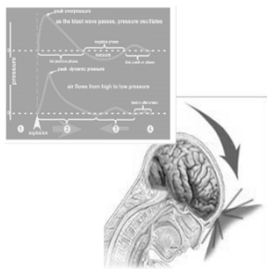
- 120 “best evidence” studies on mTBI prognosis
- Symptoms temporary after MTBI, with full recovery in days to weeks in overwhelming majority of kids and adults
- Sound evidence for favorable prognosis
- Little evidence of residual cognitive, behavioral or academic deficits
- Persistent symptoms (i.e., PCS) may be attributable to non-injury factors (demographic, psychosocial, medical, situational factors)

**More overlap than discrepancy in evidence on acute effects and recovery after SRC, Civilian and Military mTBI**

(Carrol et al., 2004)

## Progress in mTBI Research: *Acute Effects & Recovery*

### INJURY MECHANISMS



**Threshold/Dynamics:**  
How much is enough to cause brain injury?

### TRUE NATURAL HISTORY OF RECOVERY

#### Acute Effects and Recovery Time Following Concussion in Collegiate Football Players The NCAA Concussion Study

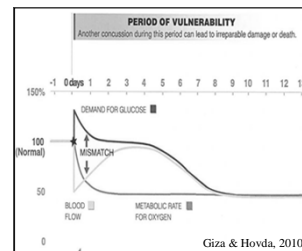
**Michael McCrea, PhD**  
**Karin M. Guskiewicz, PhD, KTC**  
**Stephen W. Marshall, PhD**  
**William Rue, PhD**  
**Christopher Randolph, PhD**  
**Robert C. Cantu, MD**  
**James A. Olson, PhD, KTC**  
**Stephen Tang, MD**  
**James P. Kelly, MD**

**Context:** Lack of empirical data on recovery time following sport-related concussion hampers clinical decision making about return to play after injury.

**Objective:** To prospectively measure immediate effects and natural recovery course relating to symptoms, cognitive functioning, and postural stability following sport-related concussion.

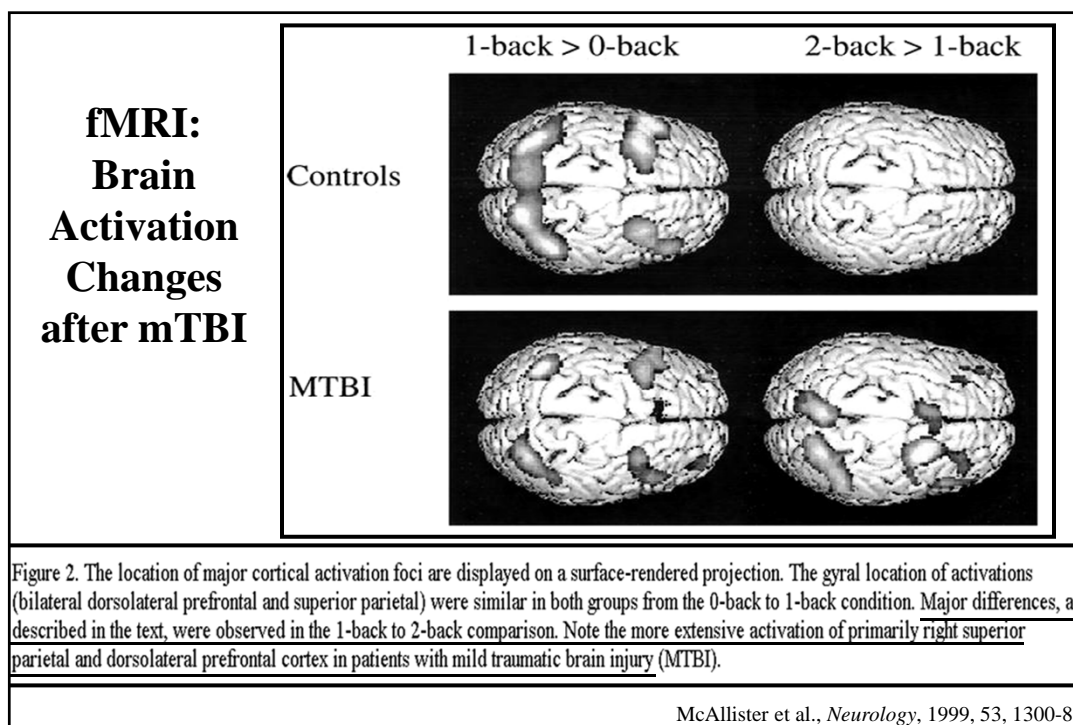
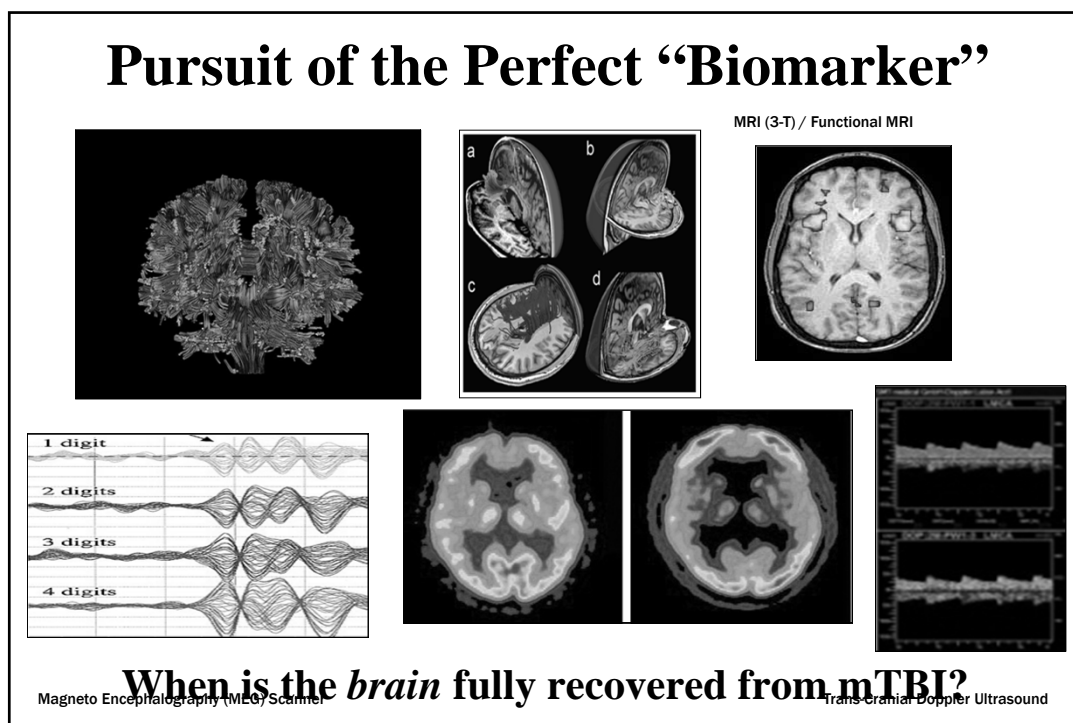
**Design, Setting, and Participants:** Prospective cohort study of 1621 football players from 15 US colleges. All players underwent pre-season baseline testing on concussion assessment measures in 1999, 2000, and 2001. Ninety-four players with concussion based on American Academy of Neurology criteria and 56 noninjured controls underwent assessment of symptoms, cognitive functioning, and postural stability immediately, 3 hours, and 1, 2, 3, 5, 7, and 90 days after injury.

**Clinical Recovery:**  
How long does it take for signs & symptoms to recover?



**Physiological Recovery:**  
How long does it take for the brain to recover?

*New Evidence Base to Guide Diagnosis, Assessment and Management*

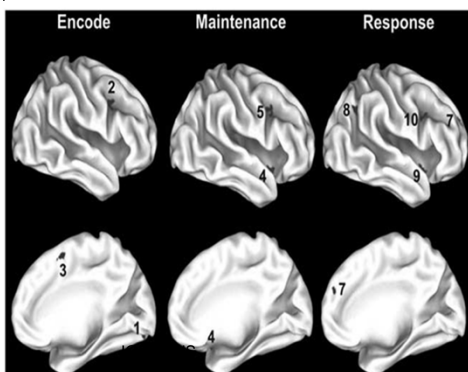


# FUNCTIONAL MRI: ACUTE SRC

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Acute and Subacute Changes in Neural Activation during the Recovery from Sport-Related Concussion

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## DESIGN & PROTOCOL:

- 12 FB concussed FB players, 12 matched controls studied 13 hr, 45 d PI
- Clinical testing, event-related fMRI (load dependent WM task)

## CLINICAL EFFECTS/RECOVERY:

- Acute symptoms and cognitive impairments (RT, WM) at 13 hours
- No impairments at 45 days

## fMRI ACTIVATION STUDIES:

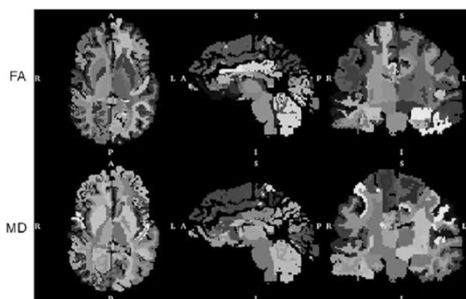
- 13 HR: Decreased activation of RH attentional networks in SRC group
- Correlate with cog deficits, symptoms
- 45 D: Reversed pattern (SRC>NC) (compensatory increase=recovery)

*Brain Imaging and Behavior* (2012) 6:137–192  
DOI 10.1007/s11682-012-9156-5

## A review of magnetic resonance imaging and diffusion tensor imaging findings in mild traumatic brain injury

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*Neurospinal Rev* (2013) 23:169–209  
DOI 10.1007/s11065-013-9237-2

## REVIEW

## Neuroimaging Biomarkers in Mild Traumatic Brain Injury (mTBI)

Erin D. Bigler

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**Abstract** Reviewed herein are contemporary neuroimaging methods that detect abnormalities associated with mild traumatic brain injury (mTBI). Despite advances in demonstrating underlying neuropathology in a subset of individuals who

**Keywords** Mild Traumatic Brain Injury (mTBI) · Concussion · Neuropsychology · Neuroimaging · Biomarkers · Neuropathology · Brain damage · Cognitive and neurobehavioral sequelae

