

# NEW YORK CITY HEALTH CARE COALITION (NYCHCC) LEADERSHIP COUNCIL MEETING

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September 28, 2017



# WELCOME!

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# Morning Agenda

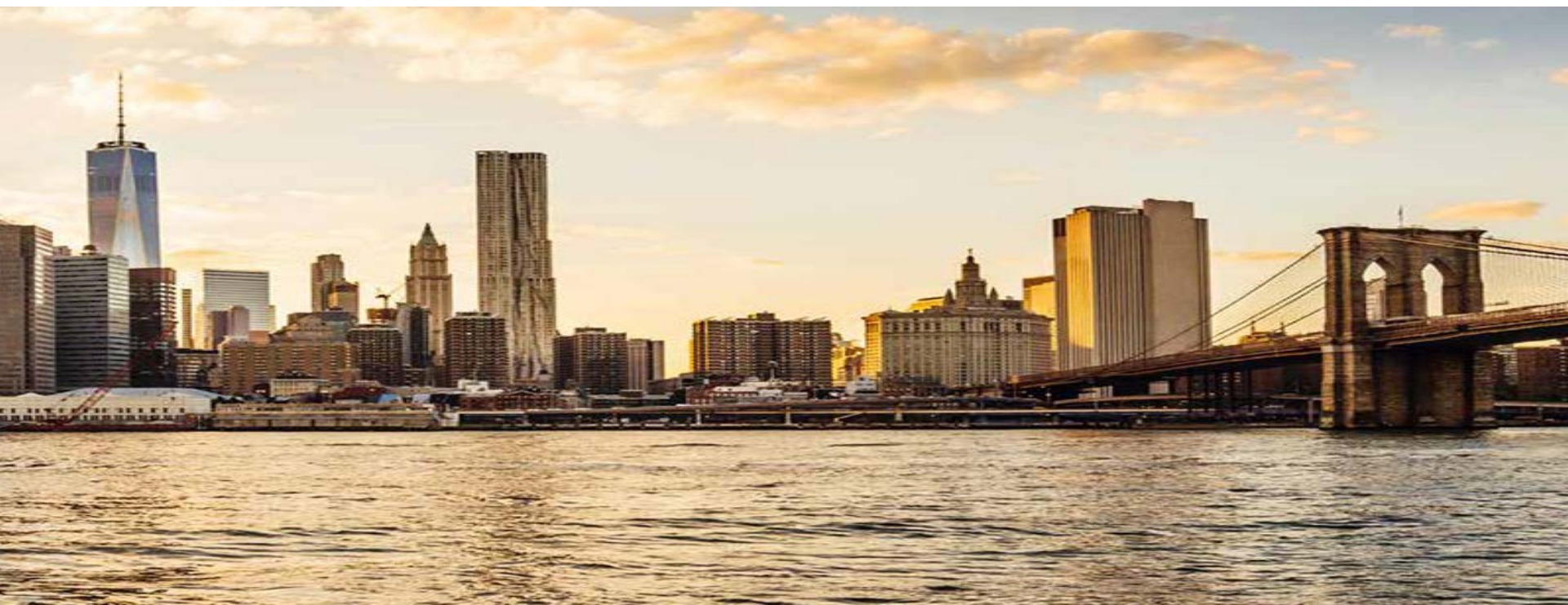
9:00 - 9:15a	Welcome - Bill Lang, MS
9:15 - 10:15a	Health & Medical Executive Committee (HMEExec) Presentation
10:15 - 10:30a	Opening Remarks - Celia Quinn, MD, MPH
10:30 - 10:50a	ASPR 5 Year Contract (2017-2022) - Darrin Pruitt, PhD, MPH
10:50 - 11:00a	NETWORKING BREAK
11:00 - 11:20a	ASPR Funding - Budgetary Period 1 (BP1) - Robert Moore, MSPPM
11:20 - 11:40a	BP5 (2016/17) Coalition Output – Jannae Parrot, MPH
11:40 – 12:00p	Health System Playbook: Update, Q&A – Darrin Pruitt, PhD, MPH

# Afternoon Agenda

12:00 - 12:30p	LUNCH
12:30 - 1:30p	NYCHCC Charter Development: Workshop Sessions
1:30 - 1:45p	NYCHCC Charter Development: Workshop Report Out
1:45 - 2:45p	Hazard Vulnerability Analysis - Shahrzad Kardooni, MD, MPH
2:45 - 2:55p	NETWORKING BREAK
2:55 - 3:10p	Health System Infection Control Program: Briefing - Mary Foote, MD, MPH
3:10 - 3:30p	Citywide Surge Exercise: Update, Q&A – Marie Irvine
3:30 - 3:45p	Community Resilience Planning Committee: Overview Hannah Arnett, Jacqlene Moran
3:45 - 3:55p	Member Announcements & Invitations to Upcoming Events - All
3:55 - 4:00p	Concluding Remarks – Bill Lang

# HEALTH & MEDICAL EXECUTIVE COMMITTEE

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# NYC Health and Medical Executive Advisory Group (HMExec)



# Overview

- Establishment of the HMEExec group
  - Purpose
  - Rationale
  - Process
- 2016 Priorities and Accomplishments
- 2017 Priorities and Status
- Questions and Discussion



HMEXEC





# NYC Health and Medical Executive Advisory Group (HMEExec)

## □ Membership

- NYC Emergency Management (NYCEM)
- Fire Department of NY (FDNY)
- NYC Health + Hospital (NYC H+H)
- Greater NY Hospital Association (GNYHA)
- NYC Department of Health and Mental Hygiene (NYCDOHMH)
- NY State Department of Health (NYSDOH)

□ Meeting monthly since November 2015

□ Bring in additional ESF-8 partners for specific issues/priorities

# Mission

- **Working in coordination with other ESF-8 agencies and NYC health system partners, the mission of HMExec is to:**
  - Advise and inform agency and incident response leadership on health and medical response objectives
  - Ensure that agencies are aligned in setting planning and response goals and meeting response needs appropriately
  - Provide strategic direction to Health/Medical agencies and health system partners in support of ESF-8
  - Identify and prioritize policy issues requiring HMExec agency input and coordinate timely resolution of these issues

# HMExec: Improving *Preparedness*

## Preparedness

- ❑ Increase information sharing among health and medical agencies
- ❑ Establish 3-5 shared, system-level preparedness planning priorities annually
- ❑ Ensure that health and medical agencies are aligned in setting preparedness priorities, and carrying out preparedness activities
- ❑ Achieve and report progress on planning priorities to organizational leadership and stakeholders

# HMExec Improving *Response*

## Response

- ❑ Advise leadership from HMExec members on emerging issues with potential system impact
- ❑ Develop shared incident objectives for Health and Medical Sector
- ❑ Streamline interagency communications and response-based policy development
- ❑ Provide strategic direction to Health/Medical agencies and health system partners in support of ESF-8

# 2016 PRIORITIES AND ACCOMPLISHMENTS



# 2016 Priorities & Accomplishments

**Priority 1:** Establish HMEExec Framework/Guiding Principles and Gain Executive Approval of Group

**Priority 2:** Improve Health System Situational Awareness and Engagement in NYC Response



# 2016 Priorities & Accomplishments

**Priority 3:** Formalize Exemptions for Healthcare Workers in New York City Travel Bans

**Priority 4:** Sustain Gains in Infectious Disease Readiness and Control

# Notable Accomplishments

- ❑ Developed a planning framework and guiding principles during planning and response (2016 P1)
- ❑ Developed and disseminated hospital guidance to improve coordination with law enforcement and investigative agencies (2016 P2)
- ❑ Created a process to develop incident-specific response guides for the healthcare community; completed and disseminated a coastal storm guide (2016 P2)
- ❑ Formalized strategies with the Mayor's Office, NYPD, and NYCEM with regard to the implementation of travel ban exemptions for health workers under the Credential Verification/Access Coordination Plan (2016 P3)

# Notable Accomplishments

- ❑ Seven hospital systems, including NYC Health + Hospitals, completed Ebola response plans, trained staff, and conducted exercises testing the ability to identify/manage Ebola patients, all of which would be highly beneficial in response to any emerging infectious disease (2016 P4)
- ❑ Coordinated with relevant partners in New York and New Jersey to develop a regional transport plan addressing the movement of patients with confirmed Ebola or other special pathogen between treatment hospitals in the region and the Regional Ebola and Special Pathogens Treatment Center at Health + Hospitals / Bellevue Hospital Center (2016 P4)

# 2016 Emergency Responses

- **2016 Zika virus:** provided input into NYC response via monthly calls
  
- **2016 Winter and Coastal storms:** facilitated timely development of operational objectives and communication of planning timeframes

# 2017 PRIORITIES



# 2017 Priorities

- **Priority Area 1:** Articulate a Vision for New York City's Healthcare System Preparedness, Response, and Recovery Through Development and Implementation of the "New York City Healthcare System Playbook"
- **Priority Area 2:** Improve NYC/Regional Response to a Coastal Storm by Identifying Mechanisms to Increase NYC Health System Receiving Capacity and Addressing Needs of Homebound Individuals Who Use Durable Medical Equipment



# 2017 Priorities (cont'd)

- **Priority Area 3:** Improve NYC Health System Readiness to Respond to Mass Casualty Incidents (MCI) Through Enhanced Coordination Between Hospitals and NYC Agencies
  
- **Priority Area 4:** Integrate HMEExec Into NYC Response Processes and Within the ESF-8 Response Framework

# 2017 Status

- **Priority Area 1:** HMEExec reviewed Healthcare System Playbook framework (based on stakeholder input from Budget Period 4). Executive summary expected Nov 2017.
  
- **Priority Area 2:**
  - Surveyed nursing homes and conducted key informant interviews to develop recommendations related to reimbursement policy
  - Developed protocols for use of emPOWER dataset in coastal storm scenario

# 2017 Status

## □ Priority Area 3:

- CIMS/HICS exercise January 2017
- Pediatric Disaster Coalition exercise April 2017
- Emergency Department Staff Training on MCI response May 2017
- Continuous monitoring of EMS-to-hospital MCI notification protocols

## □ Priority Area 4:

- Introduced HMEExec to ESF 8 agencies in January 2017
- Discussed role of HMEExec in ESF-8 and NYC Healthcare Coalition and reviewed draft NYC Healthcare Coalition Charter

# NEXT STEPS



# Next Steps

- ❑ Complete and document 2017 accomplishments
- ❑ Formalize HMEExec Role within NYC Healthcare Coalition Charter
- ❑ Jointly set priorities for 2018



QUESTIONS?





# OPENING REMARKS

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Celia Quinn, MD, MPH

# ASPR 5 YEAR CONTRACT (2017-2022)

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Darrin Pruitt, PhD, MPH

# New Project Period, New Requirements

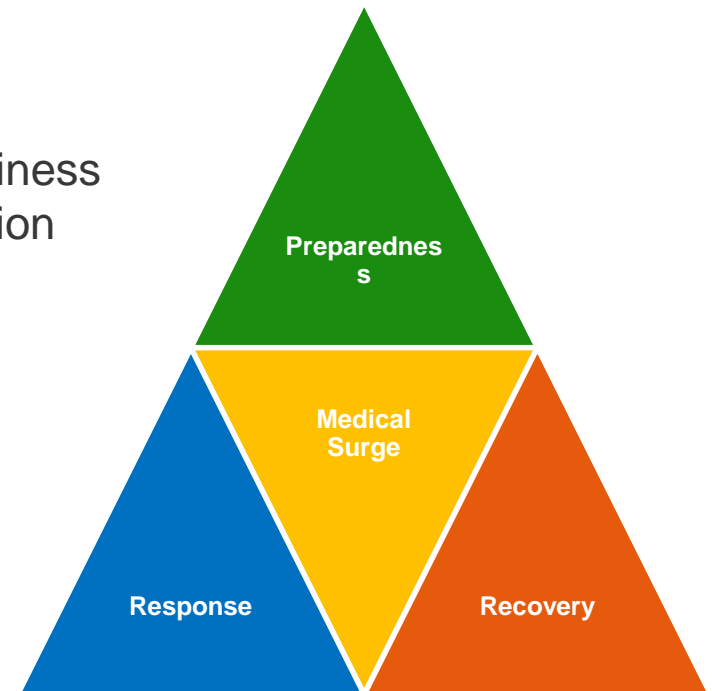
No longer only preparedness

## 4 capabilities

1. Foundation for Health Care and Medical Readiness
2. Health Care and Medical Response Coordination
3. Continuity of Health Care Service Delivery
4. Medical Surge

## Coalition focus

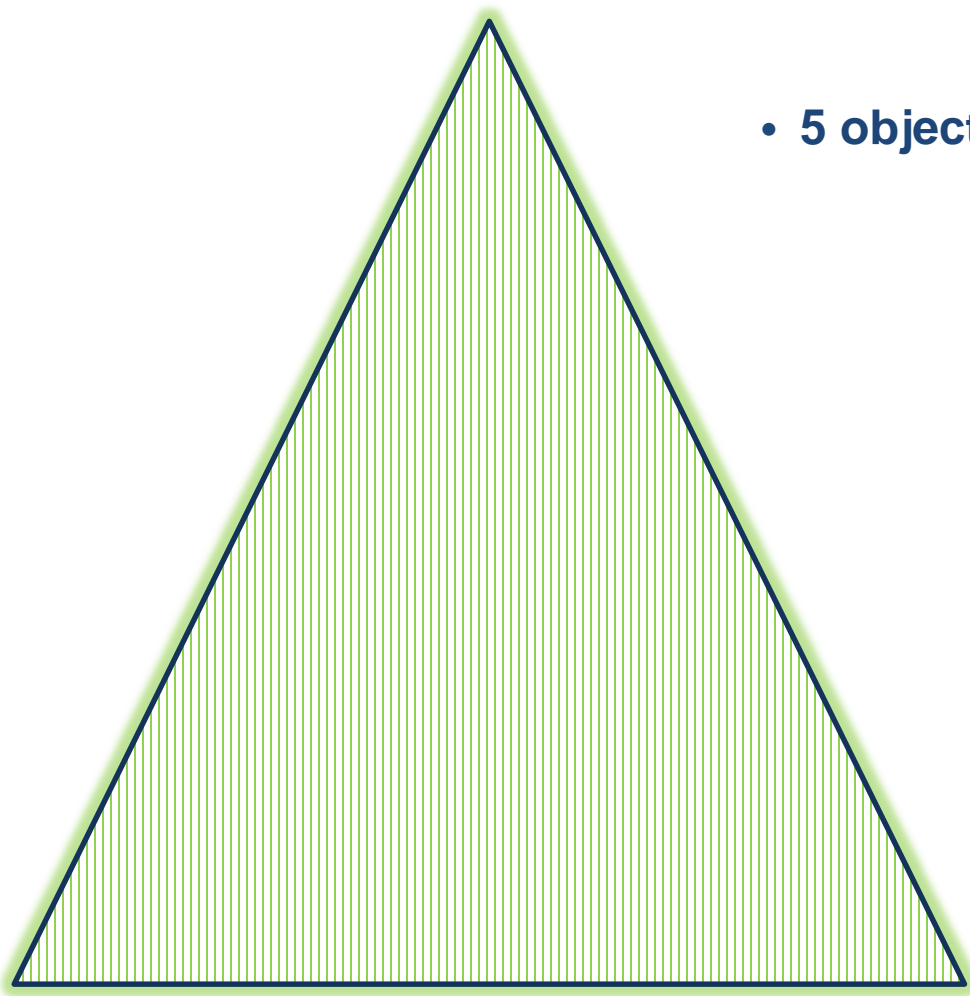
1. Annual HVA
2. Preparedness, Response and COOP Plans
3. Annual Surge Exercise



Getting there: contract deliverables, work groups, HMEExec

# Preparedness

Foundation for Health Care and Medical Readiness

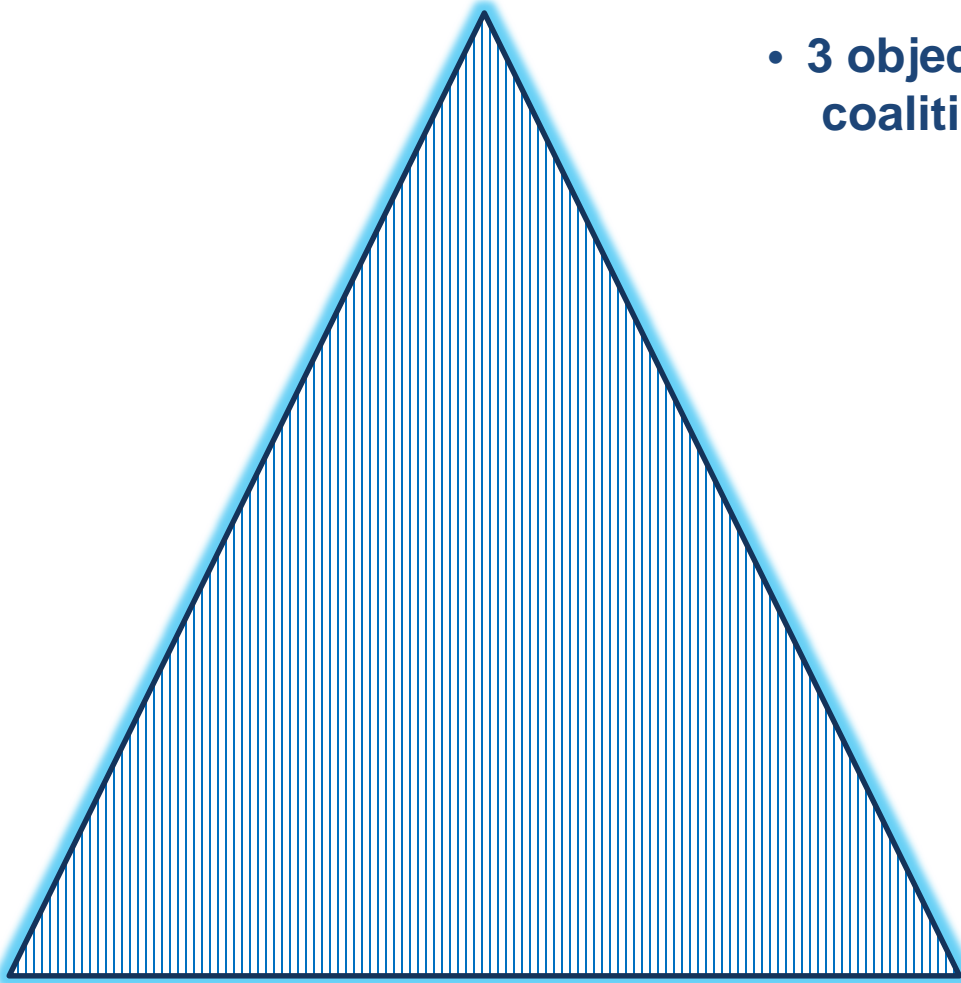


- **5 objectives focused on building the healthcare coalition (HCC)**

# Response

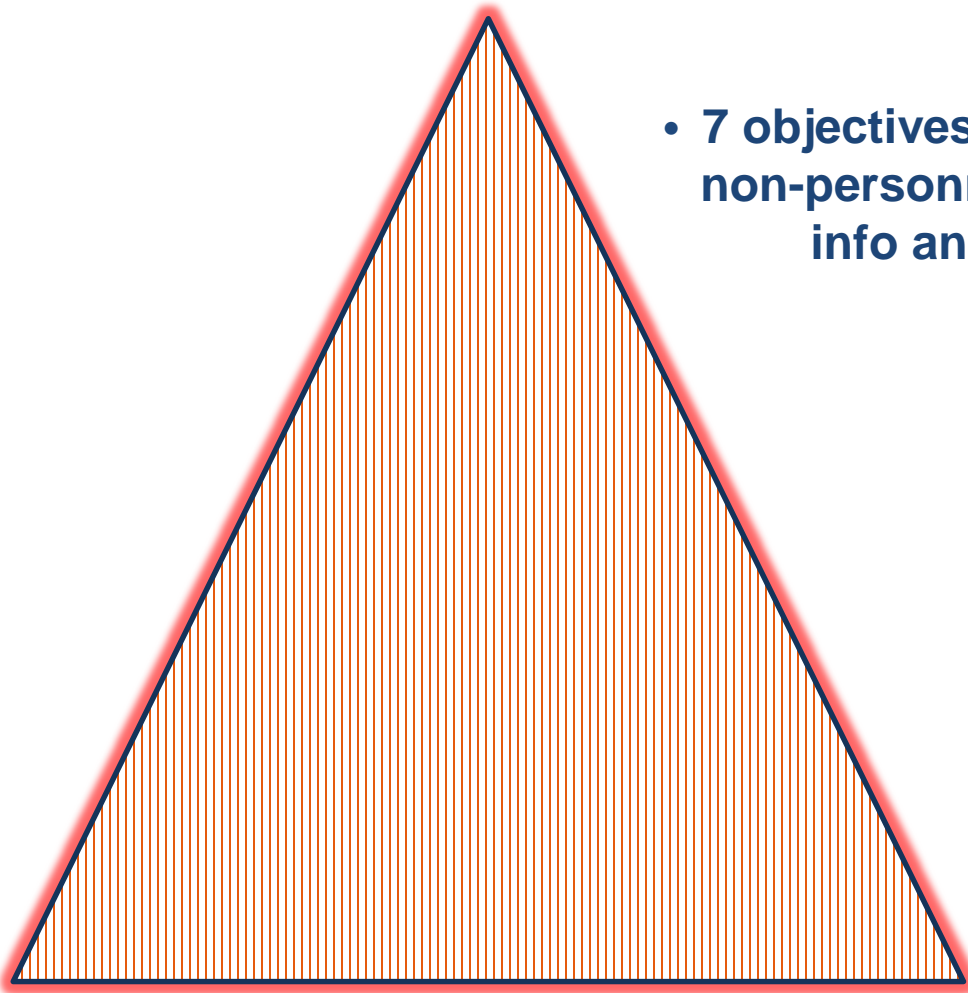
Health Care and Medical Response Coordination

- **3 objectives focused on coordinating facility + coalition response plans, information sharing and resources**



# Recovery

Continuity of Health Care Service Delivery



- **7 objectives focused on essential functions, coop, non-personnel resources, protection of healthcare info and responders, coordinated evacuation, system recovery**

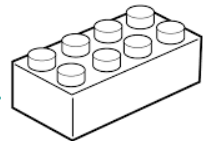


# Medical Surge

- 
- 2 objectives focused on medical surge planning and response

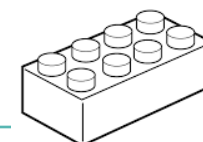
# Year 1 (2017-18) Requirements

Capability	What's due	NYC HCC role
Healthcare and Medical Readiness	<ul style="list-style-type: none"> <li>Coalition Governance (Charter)</li> <li>Coalition Preparedness Plan</li> <li>Coalition HVA</li> <li>NIMS</li> </ul>	<ul style="list-style-type: none"> <li>Provide input via LCM</li> <li>Provide input via LCM, review annually</li> <li>Provide data, review HVA, share with all members</li> <li>Complete deliverable for CIMS</li> </ul>
Healthcare and Medical Response Coordination	<ul style="list-style-type: none"> <li>Coalition Surge Test Exercise</li> </ul>	<ul style="list-style-type: none"> <li>Design, participate, provide data</li> </ul>



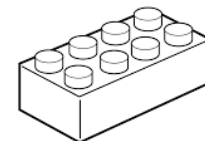
# Year 2 (2018-19) Requirements

Capability	What's due	NYC HCC role
Healthcare and Medical Readiness	<ul style="list-style-type: none"> <li>Regional Healthcare Resource Assessment</li> <li>Coalition HVA</li> <li>NIMS</li> </ul>	<ul style="list-style-type: none"> <li>Track and share information with all HCC members</li> <li>Provide data, review HVA, share with all members</li> <li>Continue NIMS education</li> </ul>
Healthcare and Medical Response Coordination	<ul style="list-style-type: none"> <li>Coalition Response Plan</li> <li>Coalition Surge Test Exercise</li> </ul>	<ul style="list-style-type: none"> <li>Provide input, review annually</li> <li>Design, participate, provide data</li> </ul>



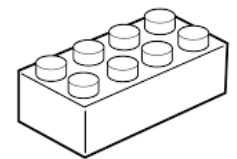
# Year 3 (2019-20) Requirements

Capability	What's due	NYC HCC role
Healthcare and Medical Readiness	<ul style="list-style-type: none"> <li>Coalition HVA</li> <li>NIMS</li> </ul>	<ul style="list-style-type: none"> <li>Review HVA, share with all members, provide data</li> <li>Complete deliverable for CIMS</li> </ul>
Healthcare and Medical Response Coordination	<ul style="list-style-type: none"> <li>Coalition COOP</li> <li>Coalition Surge Test Exercise</li> </ul>	<ul style="list-style-type: none"> <li>Provide input, review annually</li> <li>Design, participate, provide data</li> </ul>



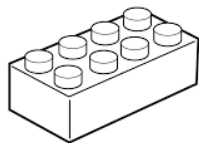
# Due Every Year (2017-22)

Capability	What's due	NYC HCC role
Healthcare and Medical Readiness	<ul style="list-style-type: none"><li>• Coalition HVA</li><li>• NIMS</li></ul>	<ul style="list-style-type: none"><li>• Review HVA, share with all members, provide data</li><li>• Complete deliverable for CIMS</li></ul>
Healthcare and Medical Response Coordination	<ul style="list-style-type: none"><li>• Coalition Surge Test Exercise</li></ul>	



# Due by the end of year 5 (2022)

Capability	What's due	NYC HCC role
Healthcare and Medical Readiness	<ul style="list-style-type: none"><li>• Jurisdictional Risk Assessment</li></ul>	<ul style="list-style-type: none"><li>• Provide input via LCM</li></ul>
Healthcare and Medical Response Coordination	<ul style="list-style-type: none"><li>• Statewide Exercise</li></ul>	<ul style="list-style-type: none"><li>• Similar process as Surge Exercise, TBA</li></ul>
Continuity of Healthcare Service Delivery	<ul style="list-style-type: none"><li>• Supply Chain Assessment</li></ul>	<ul style="list-style-type: none"><li>• Input via deliverables and LCM</li></ul>
Medical Surge	<ul style="list-style-type: none"><li>• Crisis Standards of Care Documentation</li></ul>	<ul style="list-style-type: none"><li>• Provide input, coordinate with community for input</li></ul>



# QUESTIONS?

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# NETWORKING BREAK

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# 2017-2022 HOSPITAL PREPAREDNESS PROGRAM (HPP)

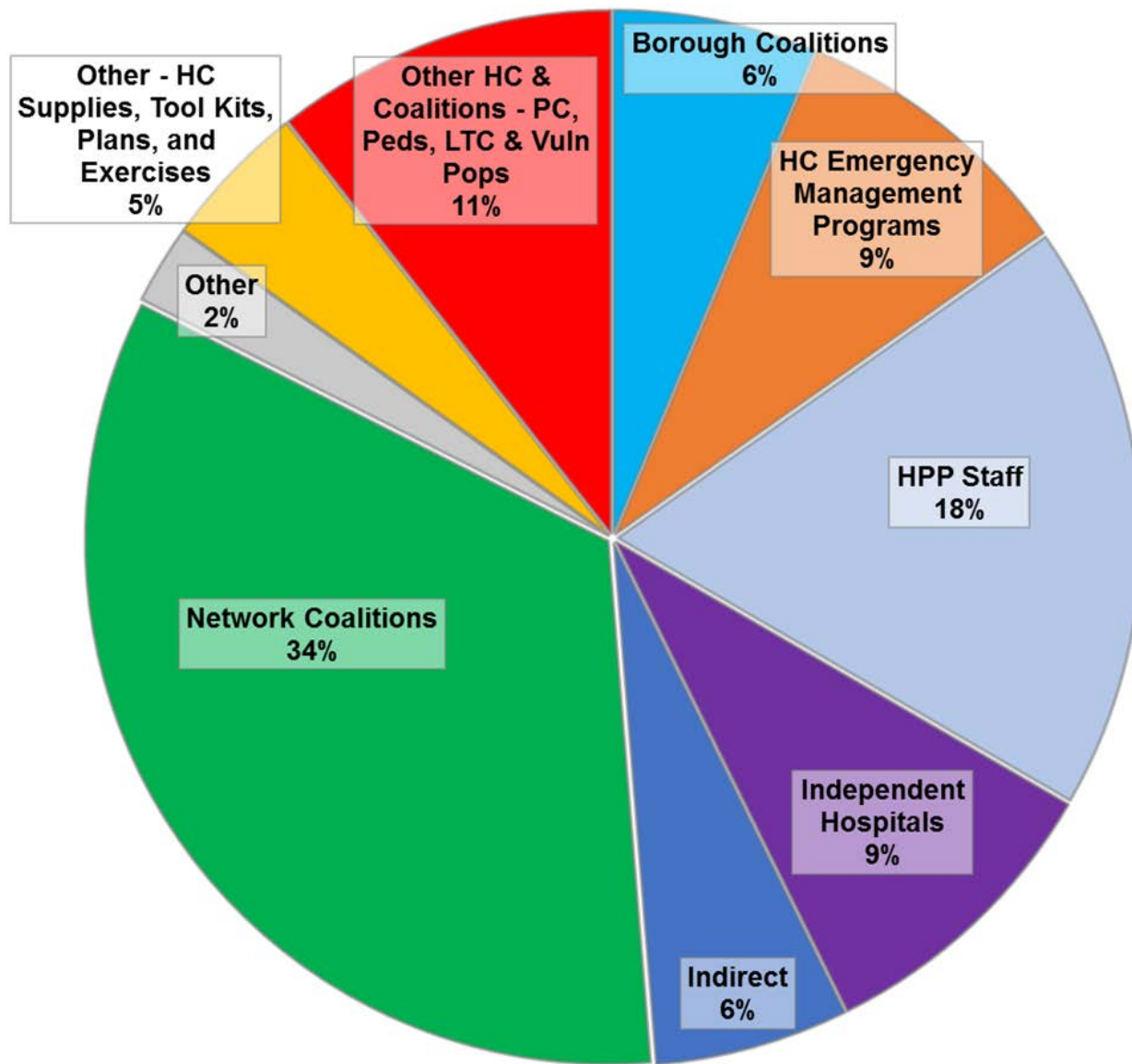
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NYC Dept. of Health & Mental Hygiene  
Office of Emergency Preparedness & Response  
(OEPR)  
Bureau of Grants Management & Administration  
(GMA)

# BP1 HPP Overview

- ❑ New 5-year HPP-PHEP project period: July 1, 2017 – June 30, 2022
- ❑ Budget Period 1 (BP1) – July 1, 2017 – June 30, 2018
- ❑ Purpose
  - To strengthen and enhance the capabilities of the public health and health care systems to respond to evolving threats and other emergencies.
  - To prevent or reduce morbidity and mortality from public health incidents whose scale, rapid onset, or unpredictability stresses the public health and health care systems
  - To ensure the earliest possible recovery and return of the public health and health care systems to pre-incident levels or improved functioning.
  - HPP: To document progress in establishing or maintaining ready health care systems through strong health care coalitions.

# BP1 Hospital Preparedness Program (HPP)



# Public Health Solutions (PHS)

- ❑ PHS will continue to serve as designated recipient and fiscal/administrative agent for HPP-PHEP
- ❑ PHS role (on behalf of DOHMH):
  - Receive/expend grants (i.e. remit payments to vendors)
  - Procure goods/services, including contracts
  - Ensure compliance with uniform administrative requirements, cost principles, and audit requirements for federal awards
- ❑ DOHMH role:
  - Sole programmatic decision making
  - Review/approve budget submissions
  - Review/approve procurement for goods/services, including contracts
  - Ensure work plan activities are carried out

# Contract & Voucher Process

## Contract Process

- ❑ PHS sends contract to HC facility for signature
- ❑ HC facility returns signed contract & required documentation to PHS
- ❑ PHS sends copy of executed contract to HC facility

## Voucher Process

- ❑ Upon deliverable completion by specified due date, HC facility submits voucher/deliverable documentation to DOHMH Project Manager
- ❑ Project Manager reviews deliverable, notifies HC facility of approval and signs voucher
  - If deliverable not approved, Project Manager communicates to HC facility what modifications are needed
  - HC facility resubmits deliverable for approval
- ❑ Project Manager submits voucher to OEPR Grants Management & Administration (GMA)
- ❑ OEPR GMA reviews/approves and submits voucher to PHS for payment
- ❑ PHS reviews/approves and remits payment to HC facility

# Deliverable Documentation

- ❑ Deliverable documentation **must** match documentation outlined in Scope of Work
- ❑ If DOHMH Project Manager/Contractor mutually agree upon changes, contract amendment and/or note to file may be required
- ❑ **Contracts, payments and deliverable documentation are subject to multiple audits, including federal audits**

# Contract Execution Deliverable

- Reminder:** Independent Hospitals, Network Coalitions, and Borough Coalitions must sign and return to Public Health Solutions (PHS) a partially executed contract within 45 calendar days of contract receipt via email from PHS
- Boroughs: sent 9/12; due 10/27 by 5pm
- Networks: sent 9/13; due 10/28 by 5pm
- Independents: TBD

# QUESTIONS?

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# BP5 COALITION OUTPUT

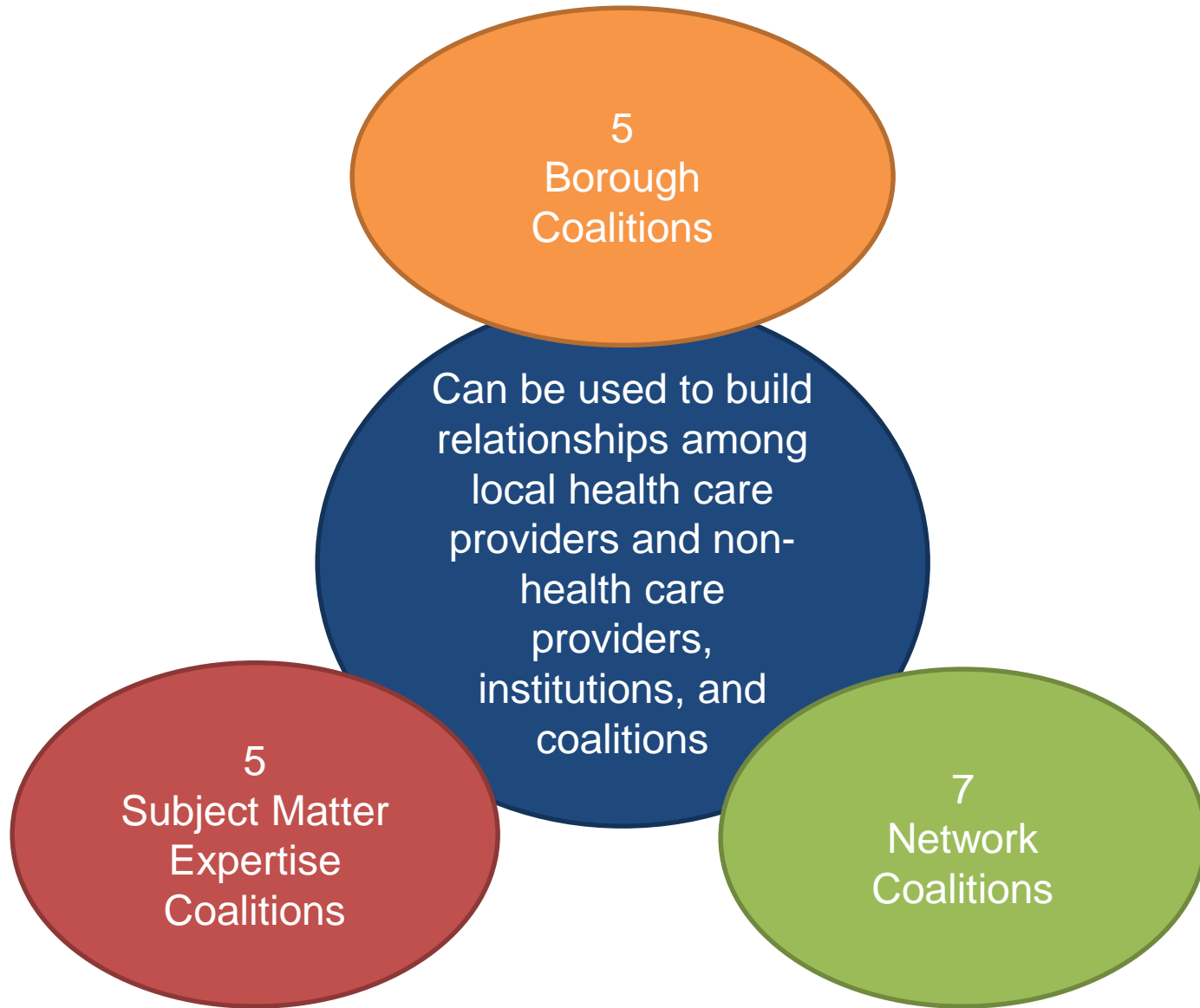
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Jannae Parrott, MPH

# Overview

- Purpose
- Coalition Development Overview,  
BP1-BP5
- Methods
- Big 5 Capabilities
- Results
- Next Steps





# Purpose

- ❑ Conduct a review of all NYCHCC outputs during BP5
- ❑ Trend our progress since BP3
- ❑ Discover trends and note our progress
- ❑ Provide snap-shot for how emergency management has progressed in relation to core capabilities
- ❑ Guide the direction of coalition work as we transition into a new budget cycle
- ❑ Suggest areas of improvement

# Coalition development: BP1-BP3



- ❑ Developed a roadmap
  - Concept of operations
  - Organizational chart of NYCHCC



- ❑ Established coalition types
  - Defined individual coalition charters
  - Began strategic planning with shared focus



- ❑ Expanded on prior work
  - Incorporated situational awareness tools
  - Conducted risk assessments (HVAs)
  - Established a baseline for the first time



# Coalition Development: BP4-BP5



## □ Standardization

- Strengthening of capabilities
- Making sure coalitions are on the same page



2015 - 2016

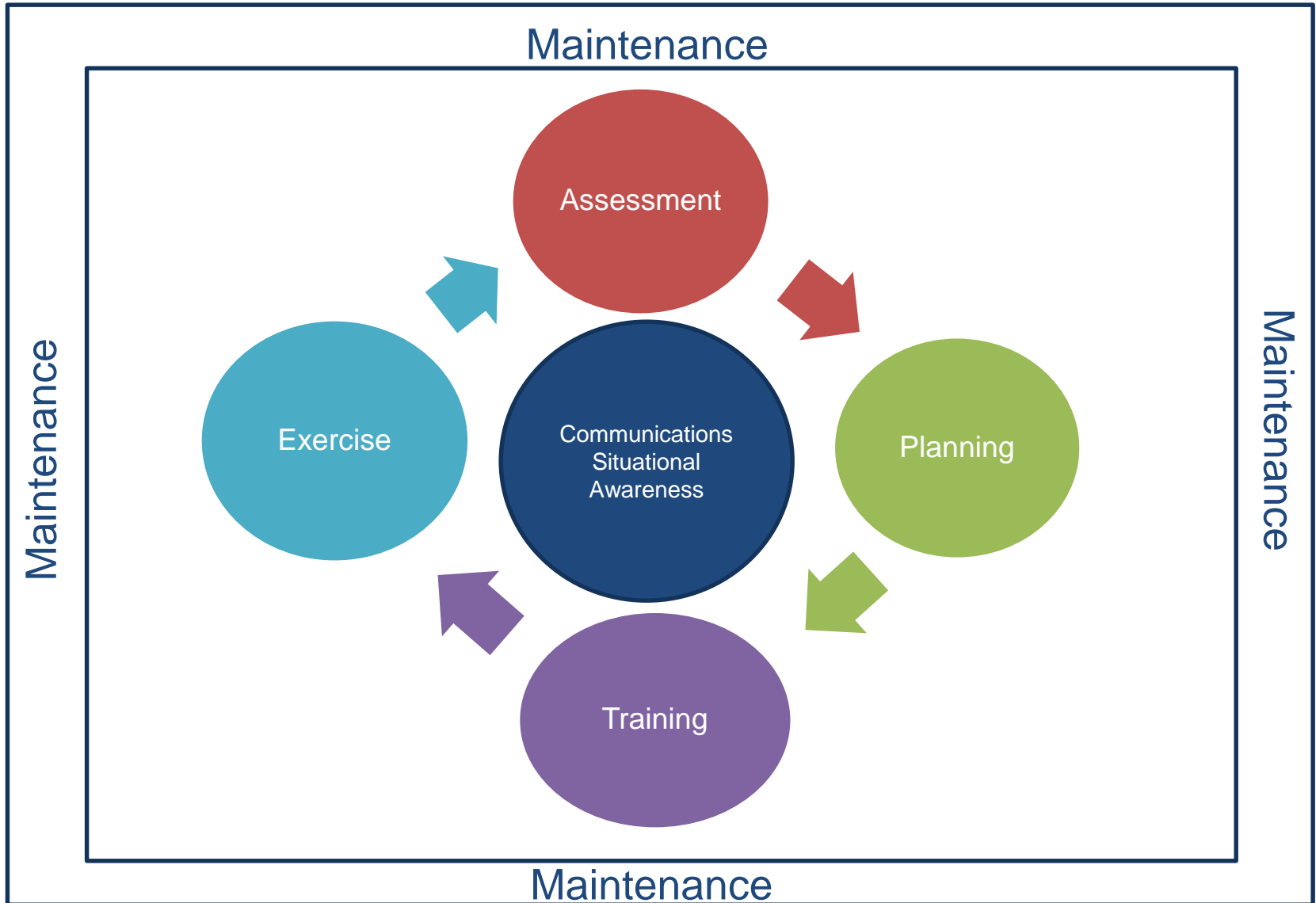
## □ Organization and Expansion

- Inclusion of multidisciplinary health care and non-health care organizations
- Promotion and sharing of promising and best practices



2016 - 2017

# “Big 5” Capabilities



# Big 5 Capabilities

Capability	Definitions
Assessment/HVA	The process of discovery of hazards and the evaluation of risk and impact of these hazards that can confront the healthcare coalition.
Planning	The process of matching activities, staff and other assets to risks from hazards discovered and evaluated by the healthcare coalition.
Training	The presentation of knowledge to, practice of skills and furthering of abilities in staff related to plans meant to prepare for, mitigate, respond to and recover from hazards.
Exercise	Planned events meant to provide experience to staff to test the utility, strengths and weaknesses of plans.
Communications/ Situational Awareness	Activities undertaken to gather and share information to facilitate planning and response.



# Methods

## Review

- Collected 58 scopes of work
- Reviewed 98 deliverables

## Code

- Summarized deliverables
- Tagged each deliverable by most relevant “big five” capability

## Analyze

- Quantitative trend of capabilities progress
- Qualitative review of best practice

# Sample Scope of Work and Deliverables

DELIVERABLE	ACTIVITIES	REQUIRED DOCUMENTATION	MAXIMUM REIMBURSABLE AMOUNT	DUE DATE
ED4 - Training – Hospital Staff	<p>Complete one (1) of the following training activities:</p> <ul style="list-style-type: none"> <li>• Based on identified gaps or vulnerabilities, develop and deliver a training for at least twenty (20) staff. Topics could include: active shooter, evacuation, eFINDs, or others. OR</li> <li>• Hospitals may send at least two (2) staff to FEMA/ HHS approved training (e.g., Hospital Emergency Response Training (HERT) in Anniston, Alabama) OR</li> <li>• Register/renew memberships for emergency management or business continuity associations/organizations (e.g., International Association of Emergency Managers (IAEM), Disaster Recovery Institute (DRI)). OR</li> <li>• Register for and receive an emergency management credential (e.g., Associate Emergency Manager (AEM), Certified Emergency Manager (CEM), Certified Business Continuity Professional (CBCP), Member Business Continuity Institute (MBCI), etc.)</li> </ul>	<ul style="list-style-type: none"> <li>• Brief proposal of desired training topic and how this supports preparedness of the facility (e.g., indicated in facility’s Hazard Vulnerability Analysis (HVA), a visit from The Joint Commission, an exercise AAR, etc.). AND</li> <li>• Proof of attendance (e.g. certificates of completion, sign-in sheet or EPC attestation). OR</li> <li>• Proof of credential/membership.</li> </ul>	\$7,500.00	April 03, 2017
<p><i>Output: Up to 55 hospitals will have newly developed or reinforcement of existing staff knowledge and skills for healthcare emergency response.</i></p>				

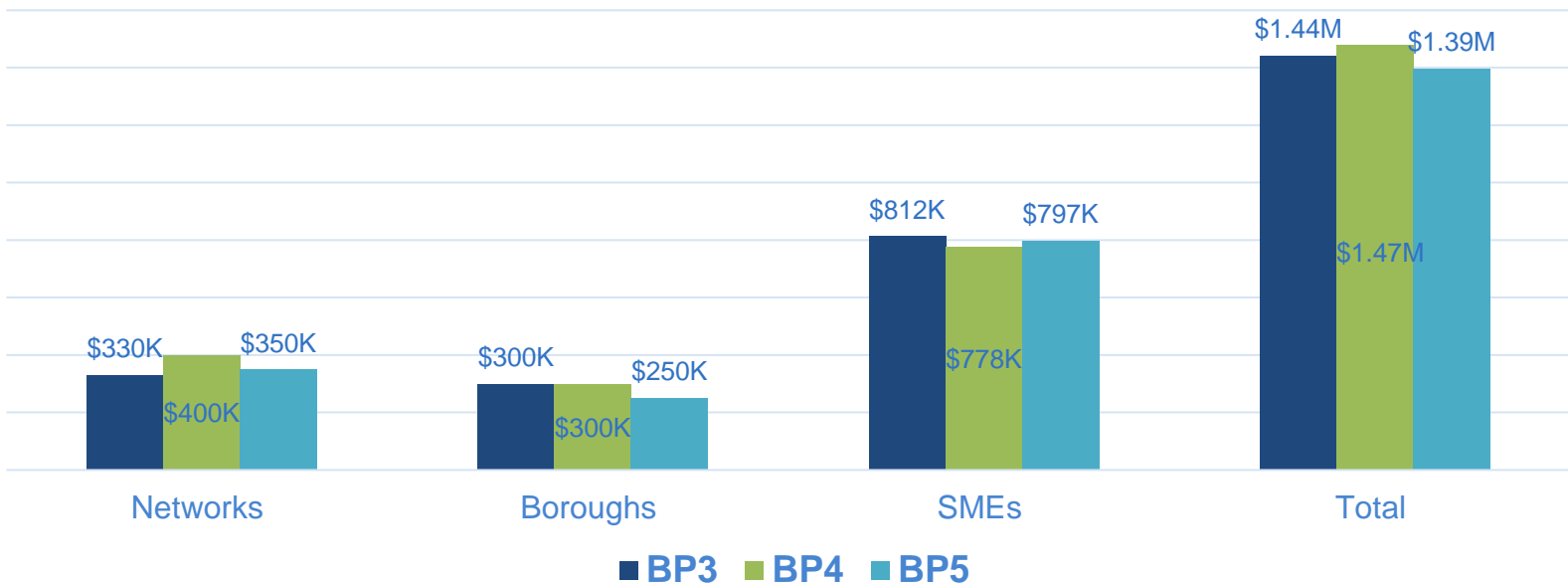
# Sample Activities

Capability	Coalition Activities
Assessment	<ul style="list-style-type: none"> <li><i>Northwell Health:</i> Establish six standardized Hazard Vulnerability Analyses for the entire network coalition</li> </ul>
Planning	<ul style="list-style-type: none"> <li><i>Queens Coalition:</i> Develop a two to three year strategic plan based on the mission and goals set forth by the QCEPHC charter</li> </ul>
Training	<ul style="list-style-type: none"> <li><i>NY Presbyterian Healthcare System:</i> Provide training on a topic from the Network Coalition's Hazard Vulnerability Analysis (HVA) including participants from all sectors and facilities</li> </ul>
Exercise	<ul style="list-style-type: none"> <li><i>NYU:</i> Conduct a cyber security tabletop exercise (ttx) including all Network Coalition hospitals and mission areas</li> </ul>
Communications/ Situational Awareness	<ul style="list-style-type: none"> <li><i>Network and Borough Coalitions:</i> Attend and participate in two NYCHCC Leadership Council meetings and present an overview of the coalition's emergency preparedness work</li> <li><i>Montefiore:</i> Host a MRC Volunteer Recruitment Campaign at appropriate MEPC facilities</li> </ul>

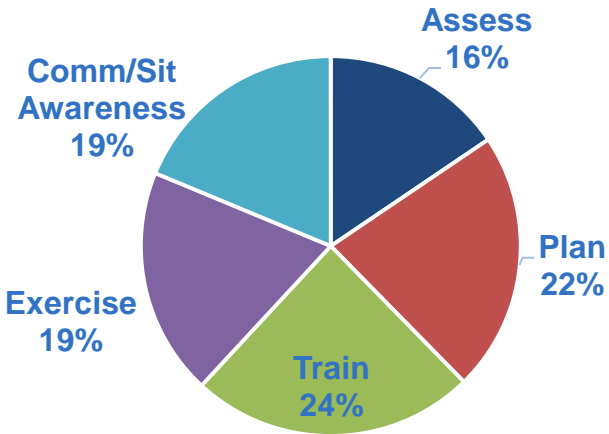
# Aggregate Coalition Dollars Reimbursed

Coalition	# Deliverables	Funds Reimbursed	% Change Funding between BP4-BP5
Networks	42	\$350,000	-12.5%
Boroughs	17	\$250,000	-16.7%
SMEs	39	\$797,000	+2.4%
Total	98	\$1,397,000	-3.5%

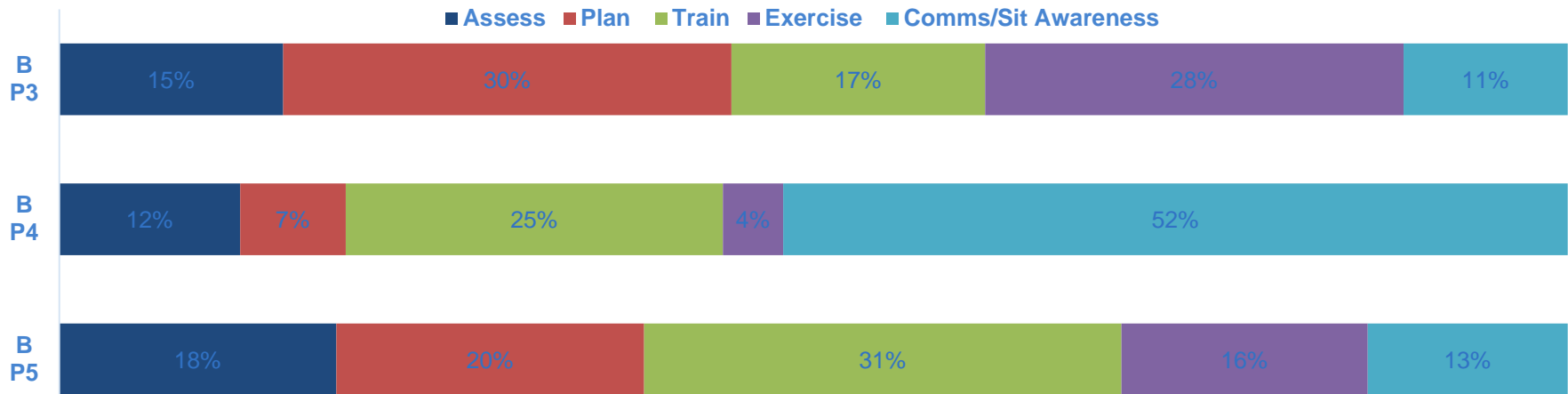
## Raw Funding by Coalition Type



# Aggregate Coalition Capabilities, BP3-BP5



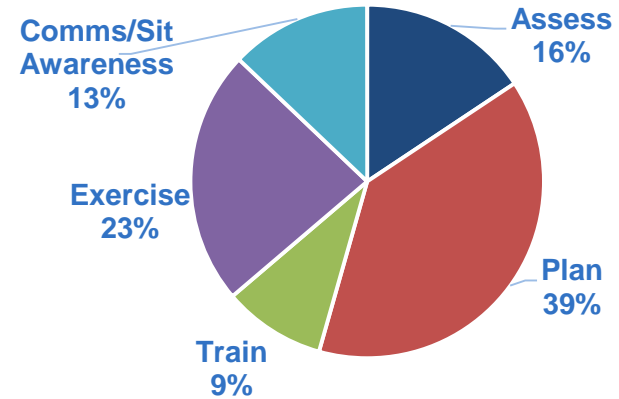
Capability	% Change from BP3 to BP5
Assessment	+ 20%
Planning	- 33%
Training	+ 82%
Exercising	- 42%
Comm. / Sit Awareness	+ 18%



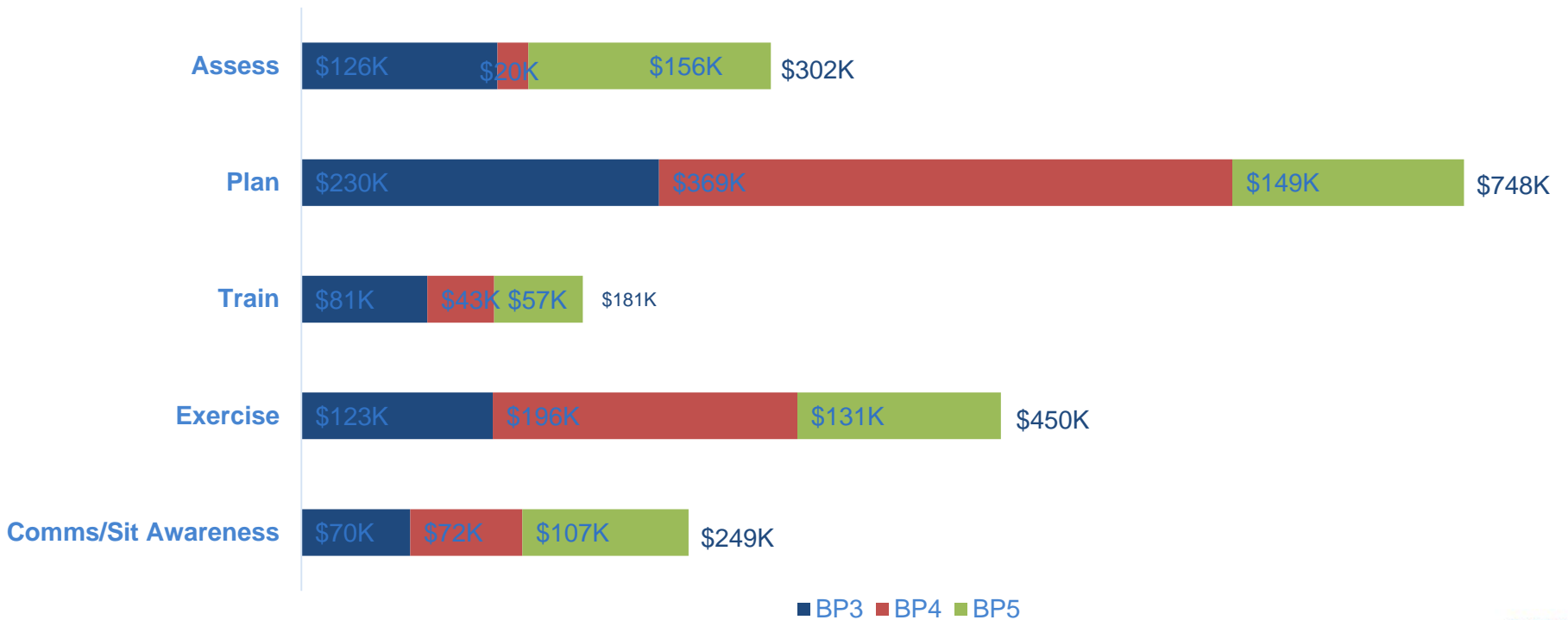
Percent (%) Distribution of Funds to Capabilities

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# Borough and Network Coalition Capabilities, BP3-BP5

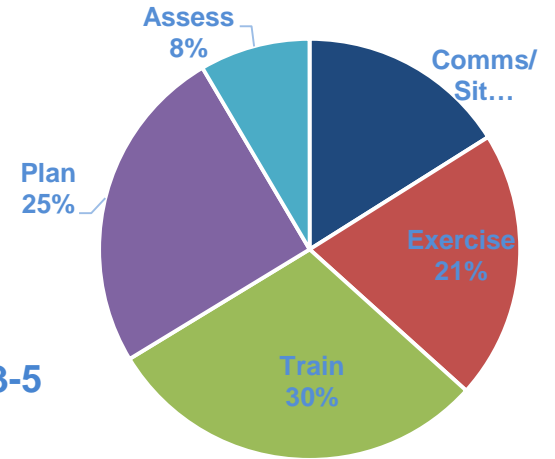


Distribution of Borough and Network Funds to Capabilities, BP3-BP5

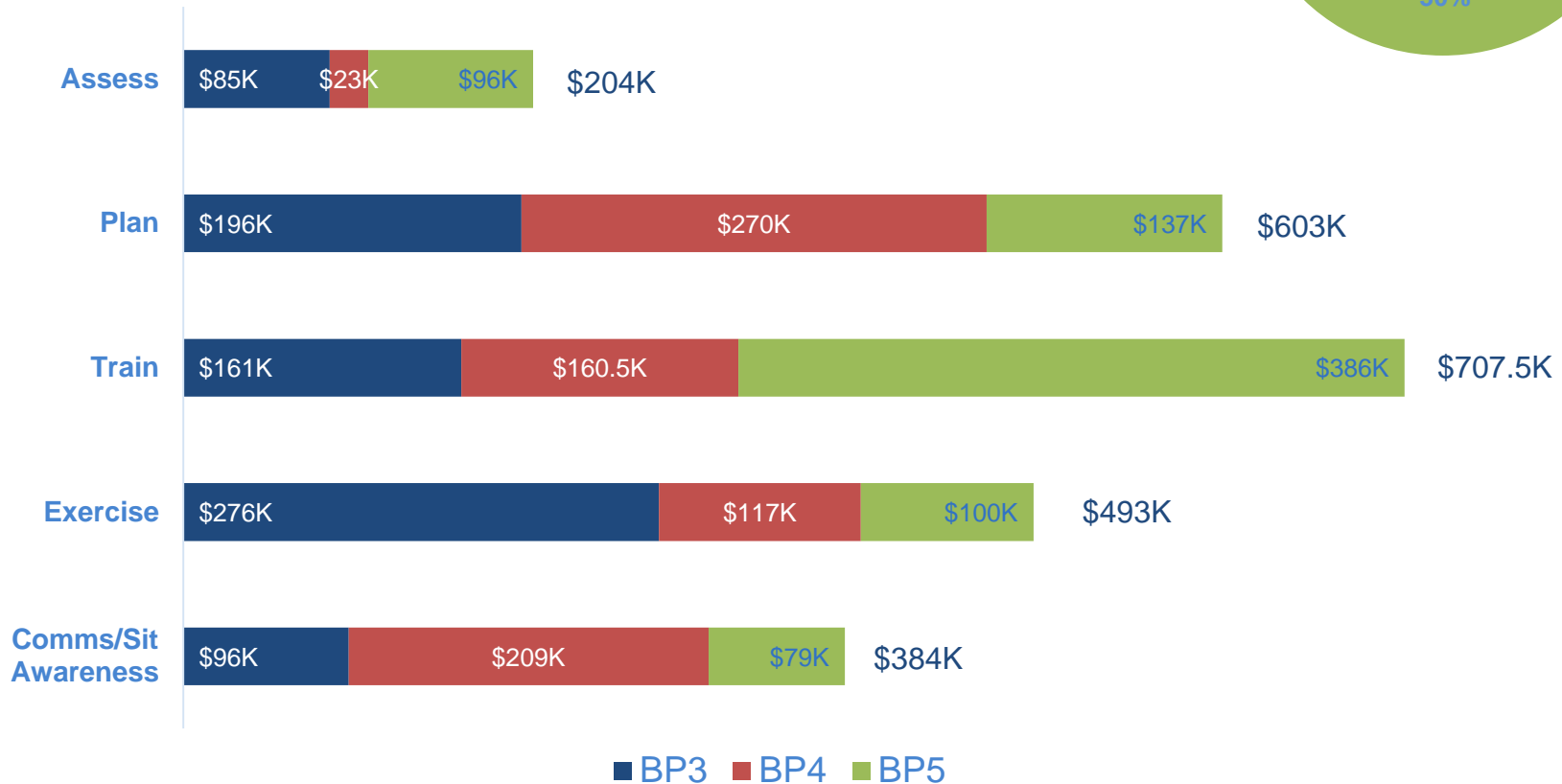


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# Subject Matter Expertise (SME) Coalitions



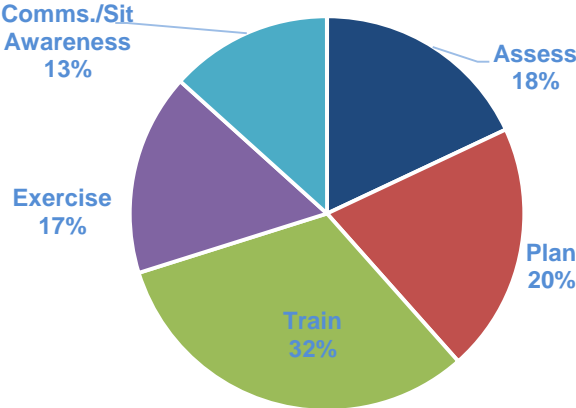
Distribution of SME Funds to Capabilities, BP3-5



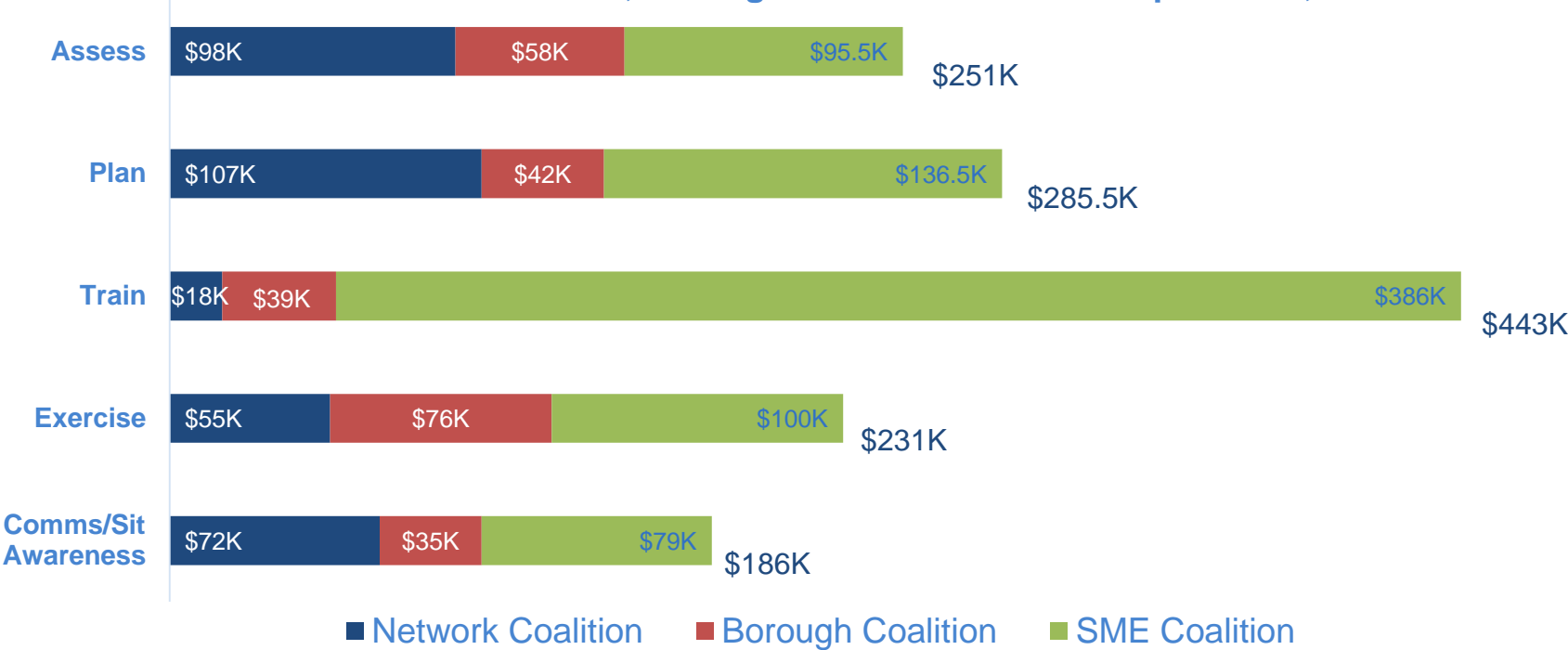
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# Aggregate Capabilities, BP5



Distribution of Network, Borough and SME Funds to Capabilities, BP5



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# Acknowledgements

- William Lang, MS
- Marc Jean, MPH
- Alex Wang, MD
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- Marsha Williams, MPH
- Madhur Katyal
- Jasmine Jacobs, MPH
- Wanda Medina
- Chanukka Smith, MHSA
- Tim Styles, MD
- Mary Foote, MD
- Taina Lopez, MSc
- Darrin Pruitt, PhD, MPH



# QUESTIONS?

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# HEALTH SYSTEM PLAYBOOK

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Darrin Pruitt, PhD, MPH

# Big Thanks!

3 Meetings

Thoughtful input, even with an impending snow storm!

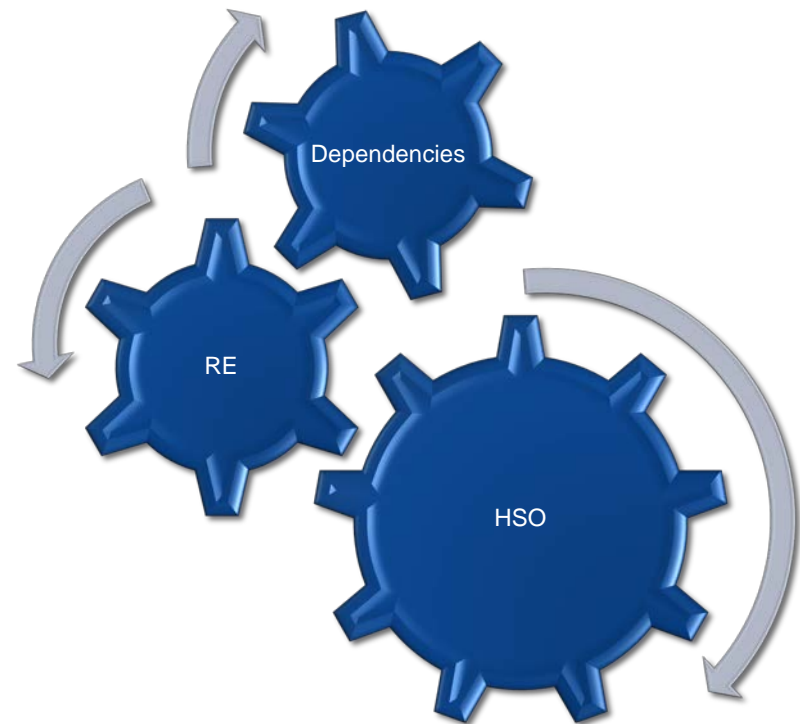
Hundreds of data points!

# Big Picture, Big Thinking

11 Healthcare System Objectives

14 Resource Elements

Many, many dependencies.



# Healthcare System Objectives (HSO)

What the system and its partners must achieve during emergencies.



<b>Coordinate Healthcare System Response</b>	<b>Provide Adequate Staffing</b>	<b>Provide Mutual Aid</b>
<b>Establish Alternate Care Sites</b>	<b>Provide Clear, Timely Communications</b>	<b>Provide Patient Care</b>
<b>Evacuate Healthcare Facilities</b>	<b>Provide Mental Health Response</b>	<b>Respond to Medical Surge</b>
<b>Align with Citywide Infrastructure</b>		<b>Support Family Reunification</b>

# Resource Elements (RE)

Material and plans/protocols necessary to achieve objectives.



These support one or more HSO.

- Citywide Coordination
- Communication and Information Sharing
- Data Tracking and Reporting
- Uniform Situational Awareness Tool
- Supplies, Mutual Aid
- Surge Space
- Transportation Resources
- Financial Plans
- Patient Tracking
- Regulatory Plans
- Staff Credentialing
- Emergency Mental Health Services
- Psychological First Aid



# Example of HSO supported by REs

The System aims to... (HSO)	This requires... (RE)	An RE depends upon...
<p><b>Respond to Medical Surge</b></p>	<p><b>Supplies</b></p> <p>Surge Space</p> <p>Uniform Situational Awareness Tool</p> <p>Data Tracking and Reporting</p>	<p>Appropriate supplies (e.g., special populations)</p> <p>Knowledge of how to use specialized supplies</p> <p>Sufficient storage space</p> <p>Pre-positioning supplies</p> <p>Supply logistics</p> <p>Availability of vendors</p> <p>Manufacturing capacity</p> <p>Maintenance of city/state issued equipment and supplies</p> <p>Knowledge of available resources (outside facility or network)</p> <p>Resource tracking</p> <p>Managing limited resources</p>

# How will the HSP help the NYC HCC?

## □ Products and Tools

- NYC Coastal Storm Operations Overview for Healthcare Facilities
- NYC HCC Charter

## □ Goal Setting

- DOHMH will use the HSP to guide developing annual priorities for HPP by focusing on developing REs.

# QUESTIONS?

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# LUNCH!

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# NYCHCC CHARTER DEVELOPMENT WORKSHOPS

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# NYCHCC CHARTER DEVELOPMENT REPORT-OUT

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# HAZARD VULNERABILITY ANALYSIS (HVA)

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Sheri Kardooni, MD, MPH

# NETWORKING BREAK

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# HEALTH SYSTEM INFECTION CONTROL PROGRAM

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Mary Foote, MD, MPH



## Ebola was a story about Infection Prevention and Control

S. Korea MERS outbreak: 2nd hospital closed, interest rate cut

By **Tim Hume**, KJ Kwon, Sol Han and Jung-eun Kim, CNN  
Updated 11:58 PM ET, Thu June 11, 2015



Strong healthcare systems are still vulnerable



Top stories



# Elevating everyday infection control practices

- ✓ Reduce risk of outbreaks
- ✓ Protect patients and staff
- ✓ Decrease spread of infections between healthcare settings and community
- ✓ Support 'all infectious hazard' preparedness
  - Pandemic-flu vs. seasonal flu → *tools are the same!*

# INFECTION CONTROL ASSESSMENT AND RESPONSE PROGRAM

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ELC-Ebola (CDC)

## □ National Initiative

- CDC + State and local health Departments

## □ Goal → Enhance infection control capacity of

- Individual health care facilities
- Health care sectors
- Healthcare systems

- ❑ Voluntary participation
- ❑ Utilize assessment tools developed by CDC
  - Nursing homes
  - Outpatient clinics / Urgent Care
  - Hospitals
- ❑ On site visits → “Guided Self-Assessments”
  - Roundtable discussion based on survey responses
- ❑ Post visit → Report with recommendations and follow-up resources

# Infection Control Domains Assessed

- Infection control program and infrastructure
- Healthcare personnel and resident safety
- Surveillance and disease reporting
- Hand and respiratory hygiene
- Personal protective equipment
- Antibiotic stewardship
- Injection and device safety
- Environmental cleaning

## March 2016-Sept 2017

Facility Type	2016	2017	Total
Hospitals	6	1	7
Nursing Homes	33	40	73
Primary Care	9	10	19
Urgent Care	0	12	12
Dental	1	0	1
<b>Total</b>	<b>49</b>	<b>63</b>	<b>112</b>



# Key Hospital Gaps

Hospitals (N=7)

- 5 networks and 2 independent

Topic	% no response
Personnel demonstrate <u>hand hygiene (HH) competency</u> following training	57%
Personal demonstrate <u>PPE competency</u> after training (selection and use)	57%
Interfacility communication when culture results are available after transfer	29%

# Key Long-Term Care Gaps

## Long-Term Care (N=73)

Topic	% no response
The person coordinating the infection prevention program has received training in infection control	74%
Antibiotic stewardship <ul style="list-style-type: none"><li>- Policies on antibiotic prescribing</li><li>- Prescriber audits and feedback</li><li>- Training for nurses and prescribers</li></ul>	63% 64% 71% and 64%
Annual training on Personal protective equipment <ul style="list-style-type: none"><li>- Auditing staff PPE adherence</li></ul>	34% 53%

# Key Primary Care Gaps

## Primary Care (N=19)

Topic	% no response
Providers demonstrate <u>competency with PPE</u> - Facility audits staff PPE adherence	39% <b>72%</b>
Providers demonstrate <u>hand hygiene (HH) competency</u> - Facility audits staff HH adherence	61% <b>56%</b>
At least one individual trained in infection prevention is available to manage the infection control program	<b>56%</b>
HCP are required to demonstrate <u>competency with environmental cleaning procedures</u>	39%
An updated list of diseases reportable to the public health authority is readily available to all personnel.	28%

# MYSTERY PATIENT DRILLS

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## Lessons Learned

# Key Infection Control Findings

Infection Control Measure Achieved	Total
If the patient reported a fever, were they asked if they or someone close to them had traveled outside the US?	85%
Was a screened positive patient given a mask?	86%
Was hand hygiene performed by staff?	<b>36%</b>
Was Infection Control signage posted for the isolation room?	70%
All staff entering the isolation room had properly donned PPE?	77%

# Additional Findings

- ~ 40% of hospitals failed at least one drill
- Masking and isolation achieved more often when travel history was obtained
  - **88% vs. 21% when travel history not obtained**
- Staff more likely to enter the isolation room with recommended PPE when isolation precaution signage was posted
  - **85% vs. 63% when signage not posted**

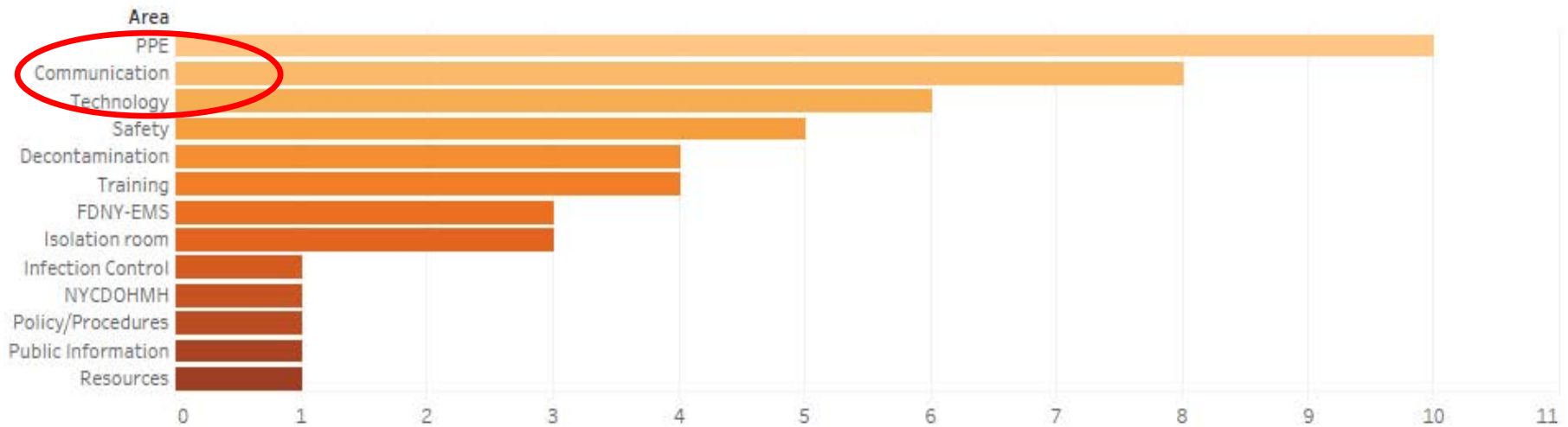
# HPP-EBOLA EXERCISES

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## Lessons Learned

# HPP Ebola:

Hospital network (coalition) exercises top areas for improvement identified by AAR/IP (N=7)



Areas for Improvement	Total (times mentioned)
PPE	10
Isolation room	4
Infection control	3

*"This [publication, journal article, etc.] was supported by Cooperative Agreement Number TP921922, funded by the Centers for Disease Control and Prevention. Its contents are solely the responsibility of the authors and do not necessarily represent the official views of the Centers for Disease Control and Prevention or the Department of Health and Human Services."*



# Improvement Plan Examples

- ❑ “EMS staff (private) improperly donning/doffing PPE”
- ❑ “Staff donning and doffing took too long. Lack of familiarity with equipment...”
- ❑ “Security officers currently do not carry minimum PPE (gloves, mask)”
- ❑ “Donning and doffing checklist not followed step by step... staff did not don outside gloves”
- ❑ “No signage outside room that identifies a Person Under Investigation (PUI) inside”
- ❑ “Reinforce hand hygiene protocols”

# NYC Hospital Survey

May 2017

## Rates of hospital training offerings by topic and modality (n=40 hospitals)

	Classroom or online training with posttest	Hands-on skills training with demonstration of competencies
Environmental services, disinfection and waste management	45%	17.5%
Hand hygiene	45%	25%
Injection Safety	50%	17.5%
Routes of transmission and infection control precautions	60%	2.5%
Personal Protective Equipment (PPE)	32.5%	50%

# Takeaway Recommendations

- ❑ Focus on implementing competency-based infection control training
  - Demonstrate hand hygiene and PPE
- ❑ Ensure ‘fever + travel’ screening in acute care setting
- ❑ Use simple algorithms to guide masking and isolation when screen is positive
  - Can be used by non-clinical staff (e.g. greeters, security)
- ❑ Perform regular audits with feedback
- ❑ Support staff training in infection control
- ❑ Build infrastructure for antibiotic stewardship

# Key Points

Infection Control is an integral part of health system preparedness for communicable disease

- Prepare to **prevent** infections
- Prepare to **detect**
- Prepare to **protect**
- Prepare to **respond** to an outbreak

# THANK YOU!

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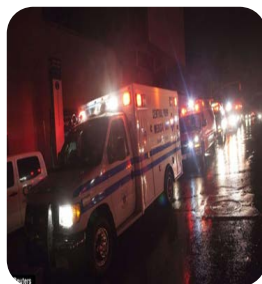
Mary Foote, MD, MPH  
[mfootemd@health.nyc.gov](mailto:mfootemd@health.nyc.gov)  
347.396.2686

# CITYWIDE SURGE EXERCISE

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Marie Irvine, MSc, MIM

# Why a Surge Exercise?



## St John's Med. Ctr. May 2011

- 6 deaths in hospital
- 183 patients evacuated in 90 minutes
- 161 deaths in overall event

## Superstorm Sandy October, 2012

- 6,300 patients from 37 healthcare facilities evacuated
- 43 deaths, tens of thousands injured

## Boston Marathon April, 2013

- 101 injuries
- 13 serious
- 17 critical

## Pulse Nightclub June 2016

- 50 fatalities
- 44 injured

## Hurricane Harvey August, 2017

- 82 dead, to date (9/21/17)
- Closed and/or evacuated
- 20 hospitals
- 45 nursing homes
- 51 adult care facilities

## Hurricane Irma August, 2017

- 42 dead, to date (9/21/17)
- Evacuated 29 hospitals
- 239 assisted-living centers
- 56 other health care facilities
- 60 shelters opened for those with special needs

# What is SurgeEx?

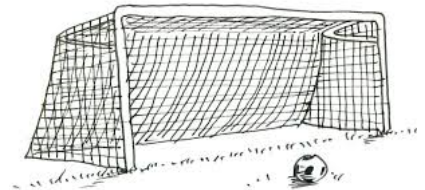
- ❑ ASPR Annual Federal Requirement (Coalition Surge Test, “CST”)
  - First year will be baseline
  - Linked to jurisdictional program performance measures for DOHMH
  
- ❑ Testing New York City’s Acute Care Sector ability to surge 20%+ in response to a Coastal Storm Incident
  - ExPlay will focus on **pre-HEC activities**
  - Evacuation of ~12 hospitals, ~Zone 1-4
  - Ability of receiving hospitals to respond to surge
  
- ❑ Low/No-notice Exercise
  
- ❑ Work in progress through planning team and feedback sessions





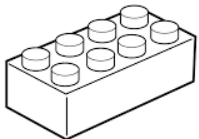
# SurgeEx Goals

- ❑ The CST is intended to improve health care system response readiness.
  - Tests functional surge capacity and identifies gaps in surge planning
  - Tests ability to perform the tasks with existing on-site staff without excessive guidance or prompting
  - Tests if evacuating facility knows who to contact in evacuation scenario, and ability to reach partners on a moment's notice
  
- ❑ The CST tests the overall health care system response.
  - Simulates an evacuation, but can demonstrate:
    - ✓ Emergency Operations Coordination
    - ✓ Information Sharing
    - ✓ Medical Surge Capacity

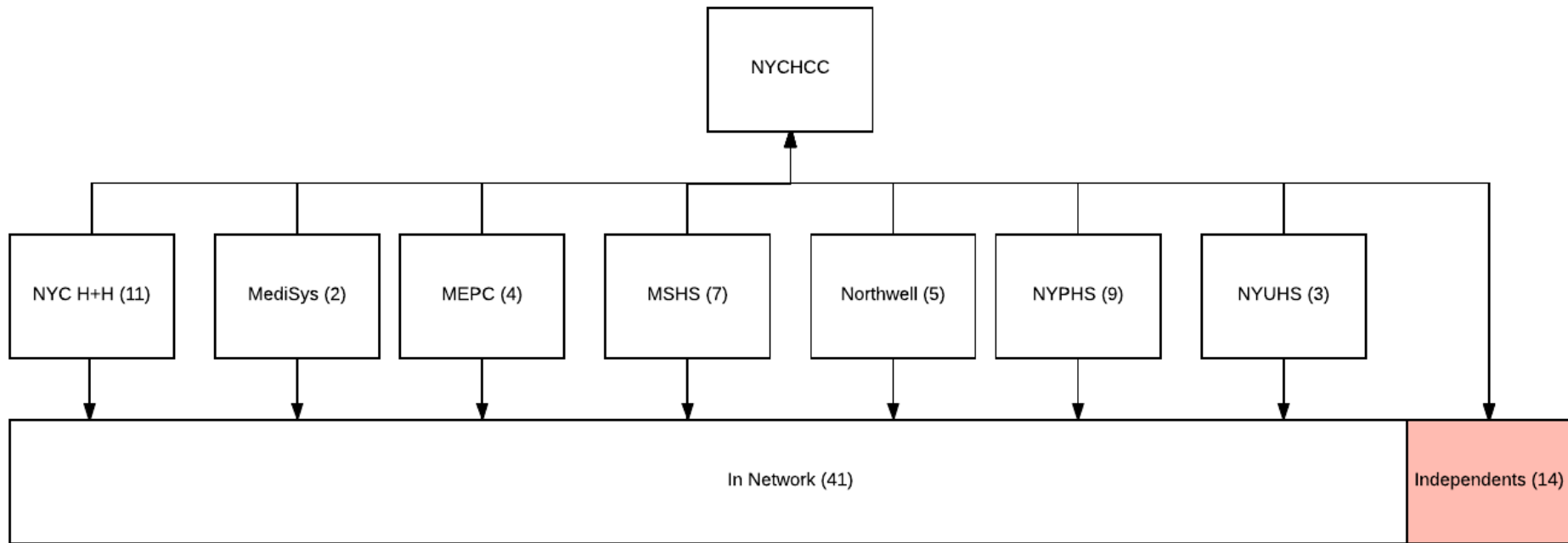


# SurgeEx Elements

Element	Participants	Time	Outcome
1 Functional Exercise (FE)	<ul style="list-style-type: none"> <li>• 55 hospitals (incl. independents)</li> <li>• 7 Networks</li> <li>• City/State Agencies</li> </ul>	<ul style="list-style-type: none"> <li>• First Two Weeks of April 2018</li> <li>• 150 min. (2.5 h)</li> <li>• At facilities/network locations</li> </ul>	<ul style="list-style-type: none"> <li>• Sending, receiving and bed matching data (quantitative)</li> </ul>
2 Table-Top (“TTX”) and Hotwash	<ul style="list-style-type: none"> <li>• 55 hospitals (incl. independents)</li> <li>• 7 Networks</li> <li>• City/State Agencies</li> </ul>	<ul style="list-style-type: none"> <li>• Early May 2018</li> <li>• 90-min. (1.5h) + 30-minute Hotwash</li> <li>• At combined EPS/LCM</li> </ul>	<ul style="list-style-type: none"> <li>• Identify gaps/issues in surge capacity (qualitative)</li> </ul>
3 After-Action Discussion	<ul style="list-style-type: none"> <li>• Health and Medical Executive Committee (HM Exec)</li> </ul>	<ul style="list-style-type: none"> <li>• Early June 2018</li> </ul>	<ul style="list-style-type: none"> <li>• Address citywide surge capacity gaps and concerns</li> </ul>



# SurgeEx Staffing



## Network-Level:

- 1 trusted insider
- 1 evaluator
- players

## Facility-Level:

- 1 trusted insider
- 1 evaluator\*
- players

\*Differing tasks EVAC vs.  
RECEIVING

# Surge Ex Planning Timeline

## □ IPM (Oct. 4<sup>th</sup>, 2017)

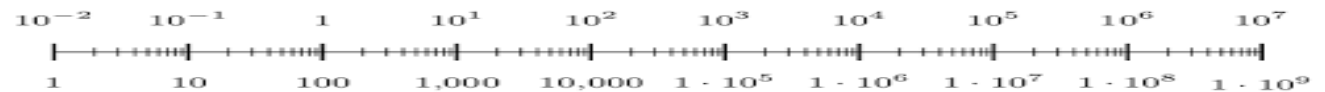
- Input Planning Meeting I (October 18<sup>th</sup>, 2017 – EPS 1)

## □ MPM (January 2018 – TBD)

- Input Planning Meeting II (February 27<sup>th</sup>, 2018 – EPS 2)

## □ FPM (March 2018 – TBD)

- Input Planning Meeting III (TBD)



# QUESTIONS?

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# COMMUNITY RESILIENCE PLANNING COMMITTEE

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Hannah Arnett  
Jacqlene Moran



# Connecting to the Whole Community

New York City Health Care Coalition  
September 28, 2017

New York City Department of Health and Mental Hygiene  
Office of Emergency Preparedness and Response

*"This [publication, journal article, etc.] was supported by Cooperative Agreement Number TP921922, funded by the Centers for Disease Control and Prevention. Its contents are solely the responsibility of the authors and do not necessarily represent the official views of the Centers for Disease Control and Prevention or the Department of Health and Human Services."*





# What is a COAD?

## ► Community Organizations Active in Disaster (COAD)

- A COAD (Community Organizations Active in Disaster) is a geographically based association of community and faith based organizations, civic groups, businesses and local leaders who work together to address all four phases of emergency management: mitigation, preparedness, response and recovery.





# COAD Neighborhoods



# Why does this matter to NYCHCC?

Deliverable	Required Activities	Required Documentation
<p><b>3. Increase Coalition Membership</b></p>	<p>1. Using BP5 membership rosters as a baseline measure, increase Borough Coalition membership by a minimum of 25% by the end of BP1. Non-acute healthcare providers and community-based organizations should represent 20% of the total membership increase.</p> <ul style="list-style-type: none"> <li>• Draft and submit a list of providers and organizations that were members of the Borough Coalition in BP5 (including healthcare facilities and representatives from all sectors, planning partners, response agencies, and community-based agencies and organizations). <i>Due by November 24, 2017.</i></li> <li>• Draft and submit a list of all new BP1 Borough Coalition members by affiliation demonstrating a minimum increase of 25% in membership; include a brief summary of strategies used for recruitment of new members. <i>Template to be provided by DOHMH.</i></li> </ul>	<p>1a. List of BP5 Borough Coalition members in BP5 (including healthcare facilities and representatives from all sectors, planning partners, response agencies, and community-based agencies and organizations).</p> <p>1b. Final BP1 list of all members by affiliation reflecting a minimum increase of 25%; include a brief summary of strategies used for recruitment of new members. <i>Template to be provided by DOHMH.</i></p>





# Community Resiliency Planning Committee

- ▶ **Goal:** The mission of the CRPC is to increase the capacity of New York City communities to prepare for, mitigate, respond to, and recover from public health emergencies. The CRPC collaborates and partners with DOHMH, community and faith-based organizations to improve communication, planning, and coordination of shared resources.
- ▶ **Next Meeting:** Thursday, October 12, 2017 10am-12pm



# Thank You!

## Contact Us:

**JACKIE MORAN**

*Community Engagement Specialist*  
*Bureau of Emergency Field Operations*  
Office of Emergency Preparedness and Response  
NYC Department of Health and Mental Hygiene  
[jmoran@health.nyc.gov](mailto:jmoran@health.nyc.gov), 347-396-2937

**HANNAH ARNETT**

*Faith Sector Coordinator*  
*Community Preparedness Program*  
Office of Emergency Preparedness and Response  
NYC Department of Health and Mental Hygiene  
[harnett@health.nyc.gov](mailto:harnett@health.nyc.gov), 347-396-4410

# MEMBER ANNOUNCEMENTS/UPDATES

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# CONCLUDING REMARKS

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Our next NYCHCC Leadership Council Meeting is scheduled for:

**December 13<sup>th</sup>**

Formal Outlook Invitation to Follow